## Edgar Filing: HORIZON BANCORP /IN/ - Form 4

HORIZON I Form 4	BANCORP /IN/											
March 24, 20												
FORM	<b>14</b> UNITED S	TATES	SECUR	ITIES	A	ND EX(	тна	NGE C	OMMISSION		PROVAL	
		111120				D.C. 20				OMB Number:	3235-0287	
Check th if no long subject to Section 1 Form 4 o	ser <b>STATEM</b> 16.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHI SECURITIES								Expires: January 3 200 Estimated average burden hours per		
Form 5 obligatio may cont See Instru 1(b).	Filed purs ns Section 17(a	) of the H		ility Ho	old	ing Con	ipany	y Act of	e Act of 1934, 1935 or Section 0	response	0.5	
(Print or Type I	Responses)											
			2. Issuer Name <b>and</b> Ticker or Trading Symbol						5. Relationship of Reporting Person(s) to Issuer			
		· · · · · ·	HORIZON BANCORP /IN/ [HBNC]						(Check all applicable)			
(Last) (First) (Middle) 515 FRANKLIN SQUARE			3. Date of Earliest Transaction (Month/Day/Year) 03/21/2017						Director 10% Owner X Officer (give title Other (specify below) below) Executive Vice President			
				ndment, Date Original th/Day/Year)					<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>			
MICHIGAN	N CITY, IN 46360								Person	lore than One Re	porting	
(City)	(State) (	Zip)	Tabl	e I - Non	1-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution any		Code (Instr. 8	8)	4. Securit n(A) or Di (Instr. 3, 4)	spose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial	
Common Stock	03/21/2017			А		1,064	A	\$0	147,176 <u>(1)</u>	D		
Common Stock	03/21/2017			F		399	D	\$ 25.14	146,777 <u>(1)</u>	D		
Common Stock									30,038 <u>(1)</u>	Ι	By SERP	
Common Stock									12,924 <u>(2)</u>	Ι	By ESOP	
Common Stock									18,417 <u>(2)</u>	Ι	By Thrift	

### Edgar Filing: HORIZON BANCORP /IN/ - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. Number ionof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of 3 Underlying Securities (Instr. 3 and 4)	
				Code V	r (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option	\$ 25.14	03/21/2017		А	2,051	<u>(3)</u>	03/21/2027	Common Stock	2,051

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
NEFF JAMES D 515 FRANKLIN SQUARE MICHIGAN CITY, IN 46360			Executive Vice President					
Signatures								
/s/Mark E. Secor, Attorney-in-F D. Neff	act for Ja	ames	03/24/2017					

### \*\*Signature of Reporting Person Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- (1) Adjusted for a 3:2 stock split effective November 14, 2016.
- (2) Adjusted to include shares allocated to the reporting person's account since the date of the last ownership report filed by the reporting person and adjusted for a 3:2 stock split effective November 14, 2016.
- (3) The options vest in three equal annual installments on the anniversary of the date of grant, beginning March 21, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

#### **Reporting Owners**

I S