XTERA COMMUNICATIONS, INC. Form 8-K August 11, 2016

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): August 11, 2016

XTERA COMMUNICATIONS, INC.

(Exact name of Registrant as Specified in Its Charter)

Delaware001-3761738-3394611(State or Other Jurisdiction(IRS Employer)

of Incorporation) (Commission File Number) Identification No.)

500 West Bethany Drive, Suite 100

Allen, TX75013(Address of Principal Executive Offices)(Zip Code)Registrant's Telephone Number, Including Area Code: (972) 649-5000(Zip Code)

Not Applicable

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instructions A.2. below):

o Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425) o Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12) o Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b)) o Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

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Item 2.02 Results of Operations and Financial Condition.

On August 11, 2016, Xtera Communications, Inc. ("the Company") issued a press release announcing its financial results for its third quarter ended June 30, 2016. A copy of the Company's press release is furnished hereto as Exhibit 99.1.

The information in this Current Report under Item 2.02 and the exhibit attached hereto shall not be deemed "filed" for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the "Exchange Act"), or otherwise subject to the liabilities of that section, and shall not be incorporated by reference into any filing pursuant to the Securities Act of 1933, as amended, or the Exchange Act, except as shall be expressly set forth by the specific reference in such a filing.

Item 9.01 Financial Statements and Exhibits.

(d) Exhibits:

Exhibit

Number Description

Exhibit Press Release dated August 11, 2016, issued by Xtera Communications, Inc, reporting its results of operations for its third quarter ended June 30, 2016.

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

Xtera Communications, Inc.

Date: August 11, 2016 By:/s/ Joseph R. Chinnici Joseph R. Chinnici Chief Financial Officer and Secretary