

INTERNATIONAL BUSINESS MACHINES CORP

Form 4

November 17, 2015

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Rosamilia Thomas W

2. Issuer Name and Ticker or Trading Symbol  
INTERNATIONAL BUSINESS MACHINES CORP [IBM]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title below) \_\_\_\_\_ Other (specify below)

IBM CORPORATION, 294 ROUTE 100

11/16/2015

Senior Vice President

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

SOMERS, NY 10589

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Price		
				Code	V	Amount	
Common Stock	11/16/2015		S <sup>(1)</sup>	38	D	\$ 132.42	22,542.729 D
Common Stock	11/16/2015		S <sup>(1)</sup>	1,123	D	\$ 132.44	21,419.729 D
Common Stock	11/16/2015		S <sup>(1)</sup>	1,400	D	\$ 132.45	20,019.729 D
Common Stock	11/16/2015		S <sup>(1)</sup>	600	D	\$ 132.46	19,419.729 D
Common Stock	11/16/2015		S <sup>(1)</sup>	2,805	D	\$ 132.47	16,614.729 D

Edgar Filing: INTERNATIONAL BUSINESS MACHINES CORP - Form 4

Common Stock	11/16/2015	S <sup>(1)</sup>	510	D	\$ 132.48	16,104.729	D
Common Stock	11/16/2015	S <sup>(1)</sup>	5,700	D	\$ 132.49	10,404.729	D
Common Stock	11/16/2015	S <sup>(1)</sup>	1,293	D	\$ 132.5	9,111.729	D
Common Stock	11/16/2015	S <sup>(1)</sup>	630	D	\$ 132.51	8,481.729	D
Common Stock	11/16/2015	S <sup>(1)</sup>	120	D	\$ 132.52	8,361.729	D
Common Stock	11/16/2015	S <sup>(1)</sup>	100	D	\$ 132.54	8,261.729	D
Common Stock	11/16/2015	S <sup>(1)</sup>	681	D	\$ 132.56	7,580.729	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	
Phantom Stock Unit	\$ 0 <sup>(2)</sup>	11/16/2015		I <sup>(1)(3)</sup>	14,962	<sup>(4)</sup>	<sup>(4)</sup>	Common Stock	14,962 \$

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Rosamilia Thomas W IBM CORPORATION			Senior Vice President	

294 ROUTE 100  
SOMERS, NY 10589

## Signatures

M.Clemens on behalf of T. W.  
Rosamilia

11/17/2015

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions reported above consist of a disposition of 15,000 shares of Common Stock and an intra-plan transfer under the IBM Excess 401(k) Plus Plan of 14,962 phantom stock units into the IBM Stock Fund.
- (2) Phantom stock units convert to the cash value of the company's common stock on a one-for-one basis.
- (3) Intra-plan transfer under the IBM Excess 401(k) Plus Plan.
- (4) Distribution of phantom stock units under the IBM Excess 401(k) Plus Plan is deferred until separation from the company. The reporting person may transfer these phantom stock units into an alternative investment account under such plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.