#### **COLUMBUS MCKINNON CORP**

Form 4 May 19, 2015

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

30(h) of the Investment Company Act of 1940

**OMB** Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

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obligations

may continue.

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Rustowicz Gregory P

(Middle)

2. Issuer Name and Ticker or Trading Symbol

COLUMBUS MCKINNON CORP

[CMCO]

3. Date of Earliest Transaction

(Month/Day/Year) 05/18/2015

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

10% Owner

Other (specify

140 JOHN JAMES AUDUBON

(First)

(Street)

**PARKWAY** 

(Last)

4. If Amendment, Date Original

Filed(Month/Day/Year)

below) VP - Finance and CFO

6. Individual or Joint/Group Filing(Check

Director X\_ Officer (give title

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

AMHERST, NY 14228

							1 015011			
(City)	(State)	(Zip) Table I - Non-Derivative Securities Acqui					ired, Disposed of, or Beneficially Owned			
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securities our Disposed (Instr. 3, 4 a	(A) or	<b>)</b> )	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock			Code V	Amount	(D)	Price	27,398.73	D		
Common Stock	05/18/2015		A	22.8939 (1)	A	\$ 24.94	27,421.6239 (2)	D		
Common Stcok							242.6178 <u>(3)</u>	D		
Common Stock							431,381.3822	I	Additional shares held by ESOP;	

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reporting

person is 1 of 3 trustees; DISCLAIMS beneficial ownership.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

D Se	Title of erivative ecurity estr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Dat (Month/Day/Y	. Date Exercisable and xpiration Date Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
S	on-Qualified tock Options Right to Buy)	\$ 13.1					10/24/2014	10/24/2021	Common Stock	6,000	
S	on-Qualified tock Options Right to Buy)	\$ 13.43					05/21/2013	05/20/2022	Common Stock	11,942	
S	on-Qualified tock Options Right to Buy)	\$ 18.95					05/20/2014	05/20/2023	Common Stock	10,181	
S	on-Qualified tock Options Right to Buy)	\$ 27.12					05/19/2015	05/19/2024	Common Stock	9,330	

## **Reporting Owners**

Reporting Owner Name / Address		Keiauonsnips					
	Director	10% Owner	Officer	Other			
Rustowicz Gregory P			VP -				

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140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228 Finance and CFO

## **Signatures**

Gregory Rustowicz 05/19/2015

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents additional restricted stock units attributable to dividend reinvestment.

Includes 23,501.6239 shares of restricted stock units issued to reporting person, subject to forfeiture in whole or part; 4,030.5474 units become fully vested and non-forfeitable 50% per year for two years beginning 10/24/2015, 3,000.7428 units become fully vested and

- (2) non-forfeitable 50% per year for two years beginning 5/21/2015; 3,647.6455 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/20/2015; 6,222 shares become fully vested and non-forfeitable on 5/21/2015, 3,704.6882 shares become fully vested and non-forfeitable 25% for four years beginning 5/19/2015, and 2,896 shares which become fully vested and non-forfeiture on 5/20/2016, if reporting person remains an employee of issuer.
- (3) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (4) Exercisable 33.33% per year for three years beginning 10/24/2014, if reporting person remains an employee of issuer.
- (5) Exercisable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.
- (6) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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