

COLUMBUS MCKINNON CORP
Form 4
December 18, 2014

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Korman Alan S

2. Issuer Name and Ticker or Trading Symbol
COLUMBUS MCKINNON CORP
[CMCO]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

140 JOHN JAMES AUDUBON
PARKWAY

(Street)

AMHERST, NY 14228

(City) (State) (Zip)

3. Date of Earliest Transaction
(Month/Day/Year)
12/16/2014

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
VP, Gen'l Counsel & Secretary

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V	Amount	(D)	Price
Common Stock					12,478	D	
Common Stock	12/16/2014		A		5.0398 (1)	A	\$ 27.12 12,483.0398
Common Stock	12/16/2014		A		8.23 (1)	A	\$ 25.02 12,491.2698
Common Stock	12/16/2014		A		7.1976 (1)	A	\$ 28.61 12,498.4674 (2)
Common Stock					346.6073 (3)	D	

Common Stock	471,483.3927 I	Additional shares held by ESOP; reporting person is 1 of 3 trustees; DISCLAIMS beneficial ownership.
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	
Non-Qualified Stock Options (Right to Buy)	\$ 18.63					01/24/2014	01/23/2021	Common Stock	10,000
Non-Qualified Stock Options (Right to Buy)	\$ 19.5					05/23/2012	05/22/2021	Common Stock	3,230
Non-Qualified Stock Options (Right to Buy)	\$ 13.43					05/21/2013	05/20/2022	Common Stock	5,424
Non-Qualified Stock Options (Right to Buy)	\$ 18.95					05/20/2014	05/23/2023	Common Stock	4,601 <u>(7)</u>
Non-Qualified Stock Options (Right to Buy)	\$ 27.12					05/19/2015	05/19/2024	Common Stock	4,386

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Korman Alan S 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228			VP, Gen'l Counsel & Secretary	

Signatures

Alan S. Korman 12/18/2014

 **Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents additional restricted stock units attributable to dividend reinvestment.
Includes 9,303.4674 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 429.914 shares become fully vested and non-forfeitable on 5/23/2015; 1,359.0502 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/21/2015; 1,643.3158 shares become fully vested and non-forfeitable 33.33% for three years beginning 5/20/2015; 2,826 shares become fully vested and non-forfeitable on 5/21/2015; 1,736.1874 shares become fully vested and non-forfeitable for four years beginning 5/19/2015 and the remaining 1,309 shares become fully vested and non-forfeitable on 5/20/2016, if reporting person remains an employee of issuer.
 - (3) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
 - (4) Exercisable 33.33% per year for three years beginning on January 24, 2014, if reporting person remains an employee of issuer.
 - (5) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
 - (6) Exercisable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.
 - (7) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an officer of issuer.
 - (8) Exercisable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.