Edgar Filing: Doherty Kenneth J - Form 4

Doherty Ke Form 4	nneth J										
May 30, 20	18										
FORM	Λ 4								OMB AP	PROVAL	
	UNITED	STATES			AND EX n, D.C. 2(ANGE CO	OMMISSION	OMB Number:	3235-0287	
Check t if no lor subject Section Form 4 Form 5 obligation may con <i>See</i> Inst 1(b).	SECU 16(a) of t Jtility Ho	N BENER RITIES the Securi olding Co nt Compa	Expires: January 31, 2005 Estimated average burden hours per response 0.5								
(Print or Type	Responses)										
	Address of Reporting	Person <u>*</u>	Symbol		nd Ticker o corp, Inc.			5. Relationship of I Issuer			
(Last)	(First) (Middle)	3. Date of	of Earliest '	Transaction	l		Спеск	all applicable))	
(Month/) 581 MAIN STREET, SUITE 810 05/29/2								Director 10% Owner X Officer (give title Other (specify below) below) EVP & Chief Lending Officer			
	(Street)			endment, I onth/Day/Ye	Date Origin ear)	al		6. Individual or Joi Applicable Line) _X_ Form filed by On	ne Reporting Per	son	
WOODBR	IDGE, NJ 07095							Form filed by Mo Person	ore than One Rep	porting	
(City)	(State)	(Zip)	Tal	ole I - Non	-Derivative	e Secu	rities Acqu	ired, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deeme Execution I any (Month/Da	Date, if	3. Transacti Code (Instr. 8)	4. Securit oror Dispos (Instr. 3, 4	ed of (5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Common Stock	05/29/2018			S	2,802 (1)	D	\$ 16.0834 (2)	220,017	D		
Common Stock	05/29/2018			S	8,000	D	\$ 16.1462 (<u>3)</u>	212,017	D		
Common Stock	05/30/2018			М	29,000	A	\$ 7.085	241,017	D		
Common Stock	05/30/2018			F	12,552	D	\$ 16.37	228,465	D		
								24,974.9074	Ι	By ESOP	

Common Stock			
Common Stock	3,368.237	Ι	By Spouse
Common Stock	44,904.3692 (4)	Ι	By 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	ofDeri Secu Acq or D (D)	urities uired (A) Disposed of tr. 3, 4,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Secur (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amor Numl Share
Stock Appreciation Rights	\$ 7.085	05/30/2018		М		29,000	01/30/2010	01/30/2019	Common Stock	29,
Stock Options	\$ 13.13						06/11/2015	06/11/2024	Common Stock	207
Stock Options	\$ 14.67						05/27/2016	05/27/2025	Common Stock	75,

Reporting Owners

Reporting Owner Name / Address	Relationships						
I O O O O O O O O O O O O O O O O O O O	Director	10% Owner	Officer	Other			
Doherty Kenneth J 581 MAIN STREET, SUITE 810 WOODBRIDGE, NJ 07095			EVP & Chief Lending Officer				
Signatures							
Steven M. Klein, pursuant to Powe Attorney	er of	05/	30/2018				
**Signature of Reporting Person			Date				

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares sold by the Reporting Person to satisfy tax obligations in connection with the vesting of an equity award.

The price reported in column 4 is a weighted average price. The shares were sold at prices ranging from \$15.99 to \$16.38, inclusive. The reporting person undertakes to provide to Northfield Bancorp, Inc., any security holder of Northfield Bancorp, Inc., or the staff of the

(2) reporting person undertakes to provide to (vortified barcorp, inc., any security holder of Northfield Barcorp, inc., of the start o

The price reported in column 4 is a weighted average price. The shares were sold at prices ranging from \$16.07 to \$16.36, inclusive. The reporting person undertakes to provide to Northfield Bancorp, Inc., any security holder of Northfield Bancorp, Inc., or the staff of the

- (3) Reporting person undertakes to provide to rotatiled balcorp, inc., any security holder of rotatiled balcorp, inc., of the start of
- (4) Reflects transactions not required to be reported pursuant to Section 16 of the Securities Exchange Act of 1934, as amended.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.