Edgar Filing: Northfield Bancorp, Inc. - Form 4

Northfield E Form 4	Bancorp, Inc.									
June 13, 201	14									
FORM	14 UNITED STATE	S SECUDITIES		יערי	NCEC	OMMISSION		PROVAL		
	UNITED STATE	Washington			NGE U	OMIMISSION	OMB Number:	3235-0287		
Check th if no lon	gar	X						January 31, 2005		
subject t Section	$_{0}$ STATEMENT C	STATEMENT OF CHANGES IN BENEFICIAL OWN SECURITIES					Estimated a	verage		
Form 4 of	Form 4 or						burden hour response	s per 0.5		
obligatio	Form 5 obligations Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section									
may con <i>See</i> Instr	annue. 20(h) of the Investmen	•	· ·						
1(b).										
(Print or Type	Responses)									
	Address of Reporting Person <u>*</u>	2. Issuer Name an	d Ticker or	Tradin	g	5. Relationship of l	Reporting Pers	on(s) to		
Doherty Ke	enneth J	Symbol Northfield Banc	ol hfield Bancorp, Inc. [NFBK]				Issuer			
(Last)	(First) (Middle)	3. Date of Earliest T	• -			(Check	all applicable)		
501 M A INI	CTDEET CLUTE 910	(Month/Day/Year)	-				Director 10% Owner X Officer (give title Other (specify			
581 MAIN STREET, SUITE 810 06/11/2014 _X_Officer (give titleC below) EVP & Chief Lending							below)			
	(Street)	4. If Amendment, D	ate Original			6. Individual or Joi	-			
		Filed(Month/Day/Yea	ar)			Applicable Line) _X_ Form filed by O	na Paporting Day	- .con		
WOODBR	IDGE, NJ 07095					Form filed by Me Form filed by Me Person				
(City)	(State) (Zip)	Table I - Non-	Derivative	Securi	ties Acq	uired, Disposed of,	or Beneficiall	y Owned		
1.Title of Security	2. Transaction Date 2A. Dee (Month/Day/Year) Execution		4. Securiti			5. Amount of Securities	6. Ownership	7. Nature of Indirect		
(Instr. 3)	any	Code	Code (Instr. 3, 4 and 5)				Form: Direct Benefic	Beneficial		
	(Month/	Day/Year) (Instr. 8)				Owned Following	(D) or Indirect (I)	Ownership (Instr. 4)		
				(A)		Reported Transaction(s)	(Instr. 4)			
		Code V	Amount	or (D)	Price	(Instr. 3 and 4)				
Common Stock	06/11/2014	А	80,000 (1)	А	\$ 13.13	172,895	D			
Common Stock						56,169.0517 (2)	Ι	By 401(k)		
Common Stock						3,343	Ι	By Spouse		
Common Stock						2,173	I	By Child		
Common Stock						21,528.1264	I	By ESOP		

Edgar Filing: Northfield Bancorp, Inc. - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount o Number o Shares
Stock Options	\$ 13.13	06/11/2014		А	207,000 (3)	06/11/2015	06/11/2024	Common Stock	207,00
Stock Options	\$ 7.09					01/30/2010	01/30/2019	Common Stock	269,35

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Doherty Kenneth J 581 MAIN STREET, SUITE 810 WOODBRIDGE, NJ 07095			EVP & Chief Lending Officer			
Signatures						
/s/ Steven M. Klein, pursuant to Po Attorney	ower of		06/13/2014			

<u>**</u>Signature of Reporting Person Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- (1) Represents shares of resricted stock that vest at a rate of 20% per year commencing on June 11, 2015.
- (2) Reflects transactions not required to be reported pursuant to Section 16 of the Securities Exchange Act of 1934, as amended.
- (3) Represents stock options that vest at a rate of 20% per year commencing on June 11, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.