## Edgar Filing: UNITED TECHNOLOGIES CORP /DE/ - Form 4

### UNITED TECHNOLOGIES CORP /DE/

Form 4

April 11, 2003

SEC Form 4

FORM 4	UNITE	ED STATES SECURITIES AND EXCHANGE COMMISSION						OMB APPROVAL		
[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).	Washington, D.C. 20549  STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP  Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility  Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940							OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response 0.5		
1. Name and Address of Report  McCormick, Richard D.  (Last) (First)	2. Issuer Name and Ticker or Trading Symbol  United Technologies Corporation UTX		4. Statement for (Month/Year)  April 9, 2003		6. Relation	elationship of Reporting Person(s) to				
United Technologies Corporat One Financial Plaza  (Street)  Hartford, CT 06101  (City) (State)	3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)		5. If Amendment, Date of Original (Month/Year)		Filing (C  X Individ	Officer er cer/Other				
	• •	3. Transaction Code and Voluntary Code (Instr. 8)	Amount   Price	ired (A) or 5)	5. Amount of Securities Beneficially Owned at End ofMonth (Instr. 3 and 4)	or Ind	)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		

directly or indirectly.

(over) SEC 1474 (3-99)

### McCormick, Richard D. - April 2003

### Form 4 (continued)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
Derivative Security	Exercise	Transaction	Transaction	of Derivative	6. Date Exercisable(DE) and Expiration	Amount of Underlying	of Derivative	Securities	Owner- ship	11. Nature of Indirect Beneficial
(Instr. 3)	Price of		Code	Securities	Date(ED)	Securities	Security	Beneficially	Form of	Ownership

<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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	Derivative Security	(Month/ Day/ Year)	Voluntary (V) Code (Instr.8)	Acquired (A) or Disposed (D) Of (Instr. 3,4 and 5)		(Instr. 3 and 4)	(Instr.5)	at End of Month (Instr.4)	Derivative Security: Direct (D) or Indirect (I)	(Instr.4)
			Code   V		(DE)   (ED)					
Non-Qualified Stock Option (right to buy)	\$61.0500	04/09/2003	Δ.1	(A) 4,000.000	04/09/2006   04/08/2013	Common Stock - 4,000.000		4,000.000	D	

Explanation of Responses:

\*\* Intentional misstatements or omissions of facts By: By: Charles F. Hildebrand, Attorney-in-Fact constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). \*\* Signature of Reporting Person Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, Power of Attorney See Instruction 6 for procedure. Page 2 SEC 1474 (3-99) Potential persons who are to respond to the collection of information contained in this form required to respond unless the form displays a currently valid OMB number. p> 15,535 D

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
ROBINSON WILLIAM L 28601 CLEMENS ROAD WESTLAKE, OH 44145	X						
Signatures							

Robert E. Veillette, 12/06/2010 Attorney-In-Fact

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

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- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Restricted Share Units acquired through deferral of grant of restricted shares under Directors' Deferred Compensation Plan.

Reporting Owners 2

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- (2) Restricted Share Units vest two (2) years after the grant date and convert to an equal number of share equivalent units on the vesting date.
- (3) Share Equivalent Units acquired upon vesting date for restricted stock units. Share equivalent units are settled in common shares on a one-for-one basis when reporting person ceases to be a Director.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.