

Miller J Stuart  
Form 4  
February 27, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Miller J Stuart

2. Issuer Name **and** Ticker or Trading  
Symbol  
WORKIVA INC [WK]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
2900 UNIVERSITY BOULEVARD

3. Date of Earliest Transaction  
(Month/Day/Year)  
02/25/2019

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title \_\_\_\_ Other (specify  
below) below)

Executive VP &amp; CFO

(Street)

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

AMES, IA 50010

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	02/25/2019		M	30,000 A	\$ 15.86 361,738	D	
Class A Common Stock	02/25/2019		S	30,000 D	\$ 48.3305 331,738	D	
Class A Common Stock	02/26/2019		M <sup>(2)</sup>	10,000 A	\$ 15.86 341,738	D	
Class A Common	02/26/2019		S <sup>(2)</sup>	10,000 D	\$ 49.01 331,738	D	

## Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Employee Stock Option to Purchase Class A Common Stock <sup>(3)</sup>	\$ 15.86	02/25/2019		M	30,000	04/07/2015 <sup>(4)</sup> 04/06/2024	Class A Common Stock 30,0
Employee Stock Option to Purchase Class A Common Stock <sup>(3)</sup>	\$ 15.86	02/26/2019		M <sup>(2)</sup>	10,000	04/07/2015 <sup>(4)</sup> 04/06/2024	Class A Common Stock 10,0
Employee Stock Option to Purchase Class A Common Stock <sup>(3)</sup>	\$ 15.83					08/12/2015 <sup>(4)</sup> 08/11/2024	Class A Common Stock 39,6
Employee Stock Option to Purchase Class A Common	\$ 14.74					02/01/2017 <sup>(6)</sup> 01/31/2026	Class A Common Stock 31,4

Stock <sup>(5)</sup>

Employee

Stock

Option to

Purchase \$ 12.4

Class A

Common

Stock <sup>(5)</sup>

02/01/2018<sup>(6)</sup> 01/31/2027 Class A  
Common 37.4  
Stock

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Miller J Stuart 2900 UNIVERSITY BOULEVARD AMES, IA 50010			Executive VP & CFO	

## Signatures

/s/ Troy M. Calkins as attorney-in-fact for J. Stuart  
Miller

02/27/2019

    Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price reported in Column 4 is a weighted-average price. The prices actually received range from \$48.2737 to \$49.00. For all transactions reported in this Form 4 utilizing a weighted-average price, the reporting person undertakes to provide upon request by the SEC staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range.
- (1) SEC staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range.
  - (2) Exercise and sale at the direction and for the benefit of the reporting person's former spouse, accordingly the reporting person did not acquire beneficial ownership of the shares.
  - (3) Granted pursuant to 2009 Unit Incentive Plan.
  - (4) Vests as to 25% of the shares on the first anniversary of the grant date and as to 6.25% of the shares at the end of each three-month period thereafter.
  - (5) Grant of stock option pursuant to the 2014 Equity Incentive Plan.
  - (6) Vests in three equal annual installments commencing on the first anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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