Kuhn Dennis Form 3 October 05, 2017

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement HORIZON BANCORP /IN/ [HBNC] Kuhn Dennis (Month/Day/Year) 10/03/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) **5795 WACKER DRIVE** (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director _X_ Form filed by One Reporting _X__ Officer Other Person (give title below) (specify below) STEVENSVILLE, Â MIÂ 49127 Form filed by More than One **Executive Vice President** Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Ι Common Stock 2,086 By ESOP Common Stock 476 I By Thrift Common Stock 1,352 Ι By SERP Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
Security	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial
(Instr. 4)	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Employee Stock Option	05/07/2011 <u>(1)</u>	05/07/2020	Common Stock	22,500	\$ 6.67	D	Â
Employee Stock Option	06/18/2014(2)	06/18/2023	Common Stock	3,636	\$ 13.49	D	Â
Employee Stock Option	03/18/2015(3)	03/18/2024	Common Stock	3,205	\$ 14.8	D	Â
Employee Stock Option	03/17/2016(4)	03/17/2025	Common Stock	3,733	\$ 15.89	D	Â
Employee Stock Option	03/15/2017(5)	03/15/2026	Common Stock	6,786	\$ 15.57	D	Â
Employee Stock Option	03/21/2018(6)	03/21/2027	Common Stock	1,493	\$ 25.14	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
toporting of more remained remained	Director	10% Owner	Officer	Other	
Kuhn Dennis 5795 WACKER DRIVE STEVENSVILLE, MI 49127	Â	Â	Executive Vice President	Â	

Signatures

/s/Mark E. Secor, Attorney-in-Fact for Dennis J. Kuhn 10/05/2017

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- (1) The option was granted on 5/07/2010 and vested in five equal installments beginning on the first anniversary on date of grant.
- (2) The option was granted on 6/18/2013 and vested in three equal installments beginning on the first anniversary on date of grant.
- (3) The option was granted on 3/18/2014 and vested in three equal installments beginning on the first anniversary of date of grant.
- (4) The option was granted on 3/17/2015 and vested in three equal installments beginning on the first anniversary on date of grant.
- (5) The option was granted on 3/15/2016 and vested in three equal installments beginning on the first anniversary on date of grant.
- (6) The option was granted on 3/21/2017 and vested in three equal installments beginning on the first anniversary on date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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