

ADVANTAGE TECHNOLOGIES GROUP INC

Form 4/A

April 08, 2014

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
CHYMIK DAVID E2. Issuer Name and Ticker or Trading
Symbol
ADVANTAGE TECHNOLOGIES
GROUP INC [AEY]5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
1221 E HOUSTON STREET
(Street)3. Date of Earliest Transaction
(Month/Day/Year)
04/03/2014☒ Director ☒ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
Chief Technology OfficerBROKEN ARROW, OK 74012
(City) (State) (Zip)4. If Amendment, Date Original
Filed(Month/Day/Year)
04/07/20146. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock <u>(1)</u>	04/03/2014		A	5,919	A \$ 3.21	2,467,800	D
Common Stock <u>(3)</u>					80,951.75	I	By Chymiak Investment LLC
Common Stock <u>(4)</u>					80,951.75	I	By spouse's ownership in Chymiak Investment LLC

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Underlying Security (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title
Non-Qualified Stock Option	\$ 3.21	04/03/2014		A	50,000	04/03/2014 ⁽²⁾ 04/03/2024	Common Stock

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
CHYMIK DAVID E 1221 E HOUSTON STREET BROKEN ARROW, OK 74012	X	X	Chief Technology Officer	

Signatures

/s/ David E.
Chymiak

04/08/2014

Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) For the 5,919 shares acquired on 4/3/2014, there is a holding restriction which will expire in annual installments of 1,973 shares over 3 years starting 4/3/2015.

(2) Options vest in 3 annual installments of 16,667 starting 4/3/2015.

(3) David Chymiak holds a 25% ownership in Chymiak Investment LLC.

(4) Spouse, Judith M. Chymiak, holds a 25% interest in Chymiak Investment LLC. These shares are indirectly owned by David Chymiak as spouse.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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