### Edgar Filing: XOMA Corp - Form 4

XOMA Corp Form 4 June 18, 2013Image: Check this box if no longer subject to Section 16. Form 4 orImage: Check this box if no longer subject to Section 16. Form 4 orImage: Check this box if no longer subject to Section 16. Form 4 orImage: Check this box if no longer subject to Section 16. Form 4 orImage: Check this box if no longer subject to Section 16. Form 4 or							NERSHIP OF	-	irs per	
Form 5 obligatio may con <i>See</i> Instr 1(b).	ns Section 17(a uction	suant to Section a) of the Public 30(h) of the	Utility Hol	ding Con	npany	Act o	f 1935 or Sectio	n		
(Print or Type I 1. Name and A RUBIN PA	uer Name <b>and</b> 1 A Corp [X		Tradiı	ıg	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) C/O XOMA SEVENTH	3. Date of Earliest Transaction (Month/Day/Year) 06/18/2013				Director 10% Owner X Officer (give title Other (specify below) below) Sr. VP Clinical Dev. & CMO					
(Street) 4. If Amer Filed(Mon BERKELEY, CA 94710				ate Origina r)	1		<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting Person</li> </ul>			
(City)	(State)	(Zip) T	able I - Non-I	Derivative	Secur	ities Aco	quired, Disposed of	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)		ransaction Date 2A. Deemed onth/Day/Year) Execution Date, if any (Month/Day/Year)		4. Secur ion(A) or D (D) (Instr. 3,	ispose	d of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)		
C			Code V	/ Amount		Price	(Instr. 3 and 4)			
Common Shares	06/18/2013		M <u>(1)</u>	3,130	А	\$ 2.36	9,534	D		
Common Shares	06/18/2013		S <u>(1)</u>	3,130	D	<u>(2)</u>	6,404	D		
Common Shares	06/18/2013		S <u>(1)</u>	2,870	D	<u>(3)</u>	3,534	D		
Common Shares							4,422	Ι	by 401(k)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number etionof Derivative Securities 3) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Shares
Non-Qualified Share Option (right to buy)	\$ 2.36	06/18/2013		M <u>(1)</u>		3,130	(4)	05/24/2022	Common Shares	3,13

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
i o	Director	10% Owner	Officer	Other			
RUBIN PAUL D C/O XOMA CORPORATION 2910 SEVENTH STREET BERKELEY, CA 94710			Sr. VP Clinical Dev. & CMO				
Signatures							
By: Fred Kurland For: Paul Rubin	0	6/18/2013					

<u>\*\*</u>Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was made pursuant to Rule 10b5-1 trading plan adopted by the reporting person on 3/20/2013.
- (2) These shares were disposed of in multiple transactions at prices ranging from \$3.82 to \$3.96 for aggregate gross proceeds of \$12,190.10, of which \$7,386.80 was used to pay the option exercise price.
- (3) These shares were disposed of in multiple transactions at prices ranging from \$3.88 to \$3.95 for aggregate gross proceeds of \$11,224.50.
- (4) Exercisable with respect to 1/48th of options in monthly increments beginning 6/24/2012 and ending 5/24/2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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