## Edgar Filing: RUBIN PAUL D - Form 4

| Check this box<br>if no longer<br>subject to<br>Section 16.<br>Form 4 or<br>Form 5<br>obligations<br>may continue.<br>Statement of<br>Section 17(a) of the | S SECURITIES AND EXCHANGE<br>Washington, D.C. 20549<br>F CHANGES IN BENEFICIAL OW<br>SECURITIES<br>Section 16(a) of the Securities Exchan<br>Public Utility Holding Company Act of<br>of the Investment Company Act of 19 | NERSHIP OFStandard StandardStandard StandardNUMBER:3235-0287Number:January 31,Expires:2005Estimated averageburden hours perresponse0.5   |
|--|---|--|
| (Print or Type Responses)  |   |  |
| 1. Name and Address of Reporting Person <u>*</u><br>RUBIN PAUL D   | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>XOMA Corp [XOMA]   | <ol> <li>Relationship of Reporting Person(s) to<br/>Issuer</li> <li>(Check all applicable)</li> </ol>  |
| (Last) (First) (Middle) C/O XOMA CORPORATION, 2910 SEVENTH STREET  | 3. Date of Earliest Transaction<br>(Month/Day/Year)<br>05/28/2013   | Director 10% Owner<br>X Officer (give title Other (specify<br>below)<br>Sr. VP Clinical Dev. & CMO   |
| (Street)<br>BERKELEY, CA 94710   | 4. If Amendment, Date Original Filed(Month/Day/Year)  | <ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> <li>Person</li> </ul>            |
| (City) (State) (Zip)   | Table I - Non-Derivative Securities Ac  | quired, Disposed of, or Beneficially Owned   |
| 1.Title of<br>Security<br>(Instr. 3)2. Transaction Date<br>(Month/Day/Year)2A. Deer<br>Executio<br>any<br>(Month/I   |   | 5. Amount of<br>Securities6.7. Nature of<br>IndirectBeneficiallyForm: DirectBeneficial<br>OwnedOwned(D) orOwnershipFollowingIndirect (I)(Instr. 4)Reported(Instr. 4)Transaction(s)<br>(Instr. 3 and 4)Indirect (I) |
| Common 05/28/2013(1)<br>Shares   | M 4,667 A $0^{(2)}$   |  |
| Common 05/29/2013<br>Shares  | S <sup>(3)</sup> 1,797 D <sup>\$</sup><br>4.160   | <sub>3</sub> 6,404 D   |
| Common<br>Shares   |   | 4,422 I by 401(k)  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

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#### number.

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Number<br>on f Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisab<br>Date<br>(Month/Day/Year | •                     | 7. Title and A<br>Underlying S<br>(Instr. 3 and | Securit                        |
|---|---|---|---|--|---|--|-----------------------|---|--------------------------------|
|   |   |   |   | Code V                                 | (A) (D)   | Date Exercisable                             | Expiration Date       | Title   | Amo<br>or<br>Num<br>of<br>Shar |
| Restricted<br>Stock<br>Units                        | \$ 0 <u>(2)</u>   | 05/28/2013                              |   | М                                      | 4,667   | 05/28/2013(1)                                | 05/28/2015 <u>(1)</u> | Common<br>Shares                                | 4,6                            |

# **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |                            |       |
|---|---------------|-----------|----------------------------|-------|
|   | Director      | 10% Owner | Officer                    | Other |
| RUBIN PAUL D<br>C/O XOMA CORPORATION<br>2910 SEVENTH STREET<br>BERKELEY, CA 94710 |               |           | Sr. VP Clinical Dev. & CMO |       |
| Signatures  |               |           |                            |       |

| By: Diane Wilcock For: Paul<br>Rubin | 05/29/2013 |  |
|--------------------------------------|------------|--|
| **Signature of Reporting Person      | Date       |  |

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These restricted stock units are scheduled to vest in substantially equal installments annually over three years, with the first installment (1)vesting on May 28, 2013.
- Each restricted stock unit represents the right to receive, at settlement, one share of common stock. This nondiscretionary transaction (2)represents the settlement of vested restricted stock units in shares of common stock.
- Shares sold on the open market to satisfy the minimum amount of taxes required to be withheld in connection with the vesting of the (3) shares in a non-discretionary transaction pursuant to the reporting person's agreement under the Company's equity incentive plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.