

Staudmyer Frederick  
Form 4/A  
April 04, 2019

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Staudmyer Frederick

2. Issuer Name and Ticker or Trading Symbol  
Patriot National Bancorp Inc [PNBK]

5. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
05/21/2018

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Secretary and Chief HR Officer

C/O Patriot National Bancorp, Inc., 900 Bedford Street

(Street)

4. If Amendment, Date Original Filed (Month/Day/Year)  
04/03/2019

6. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

Stamford, CT 06901

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock	05/21/2018		S	200	\$ 3,900	D	
Common Stock	06/05/2018		S	300	\$ 6,000	D	
Common Stock	08/17/2018		S	200	\$ 3,960	D	
Common Stock	08/23/2018		S	300	\$ 5,880	D	
	09/06/2018		S	200		D	

Edgar Filing: Staudmyer Frederick - Form 4/A

Common Stock						\$ 3,940		
Common Stock	09/11/2018		S	100	D	\$ 2,155	4,227	D
Common Stock	11/27/2018		S	100	D	\$ 1,750	4,127	D
Common Stock	03/01/2019		S	400	D	\$ 5,780	3,727	D
Common Stock	03/05/2019		S	400	D	\$ 6,000	3,327	D
Common Stock	03/08/2019		S	400	D	\$ 5,784	2,927	D
Common Stock	03/12/2019		S	275	D	\$ 4,048	2,652	D
Common Stock	04/01/2019		A	551 <sup>(1)</sup>	A	\$ 0	3,203 <sup>(2)</sup>	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Transaction (Instr. 6)
--	--	--------------------------------------	--	--------------------------------	---	--	---	--	--

Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Code	V	(A)	(D)

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director    10% Owner    Officer    Other

Staudmyer Frederick  
C/O PATRIOT NATIONAL BANCORP, INC.  
900 BEDFORD STREET  
STAMFORD, CT 06901

Secretary and Chief HR Officer

## Signatures

/s/ Frederick  
Staudmyer

04/04/2019

\_\_Signature of Reporting  
Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On April 1, 2019, the Reporting Person was granted 551 shares of the Issuer's common stock which vest annually in equal installments over three years (183 shares, 184 shares and 184 shares respectively as of December 31, 2019, 2020 and 2021).
- The Form 4 filed by the Reporting Person on April 3, 2019 disclosed that since the previous filing on May 29, 2018 (as of which day the
- (2) Reporting Person owned a total of 5,527 shares), the Reporting Person sold a total of 2,875 shares of the Issuer's common stock. This amendment is being filed to disclose the information of the sales of such 2,875 shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.