

Stinnett Thomas C
Form 4
November 01, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Stinnett Thomas C

2. Issuer Name and Ticker or Trading Symbol
Stock Yards Bancorp, Inc. [SYBT]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
3814 ROCK BAY DRIVE

(Street)

3. Date of Earliest Transaction (Month/Day/Year)
10/30/2018

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
Executive Vice President

LOUISVILLE, KY 40245

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock					405	I	By Spouse
Common Stock					10,978	I	KSOP - fbo Thomas Stinnett
Common Stock	10/30/2018		M	3,000 A	\$ 28,010.7431 14.76 <u>(1)</u>	D	
Common Stock	10/30/2018		F	1,920 D	\$ 26,090.7431 31.15 <u>(1)</u>	D	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Appreciation Right	\$ 25.76					03/15/2017	03/15/2026	Common Stock	6,87
Stock Appreciation Right	\$ 14.76	10/30/2018		M	3,000	02/17/2010	02/17/2019	Common Stock	3,000
Stock Appreciation Right	\$ 14.02					02/16/2011	02/16/2020	Common Stock	3,000
Stock Appreciation Right	\$ 15.84					03/15/2012	03/15/2021	Common Stock	4,230
Stock Appreciation Right	\$ 15.24					02/20/2013	02/20/2022	Common Stock	7,750
Stock Appreciation Right	\$ 15.26					02/19/2014	02/19/2023	Common Stock	11,500
Stock Appreciation Right	\$ 19.37					02/18/2015	02/18/2024	Common Stock	6,860
Stock Appreciation Right	\$ 22.96					03/17/2016	03/17/2025	Common Stock	5,480
Stock Appreciation	\$ 40					03/21/2018	03/21/2027	Common Stock	3,600

Right

Stock

Appreciation \$ 35.9

Right

02/20/2019 02/20/2028

Common
Stock

3,54

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Stinnett Thomas C 3814 ROCK BAY DRIVE LOUISVILLE, KY 40245			Executive Vice President	

Signatures

/s/ Thomas C.
Stinnett

11/01/2018

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired through automatic dividend reinvestment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.