#### Edgar Filing: CUTERA INC - Form 4

CUTERA I Form 4 April 14, 20											
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FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549									3235-0287		
Check t if no los subject Section Form 4	MENT OF CI		N BENEI IRITIES	ERSHIP OF	Expires: January 31 2005 Estimated average burden hours per response 0.5						
Form 5 obligations may continue. See Instruction 1(b). Form 5 obligations may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type	e Responses)										
CONNORS KEVIN P Symbol								5. Relationship of Reporting Person(s) to Issuer			
			te of Earliest Transaction				(Check all applicable)				
C/O CUTERA, INC., 3240 04/10/2 BAYSHORE BLVD				0/2015 —				_X Director 10% Owner _X Officer (give title Other (specify elow) below) President & CEO			
				Ionth/Day/Year) A				<ul> <li>Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>X_ Form filed by One Reporting Person</li> <li>Form filed by More than One Reporting</li> </ul>			
BRISBAN	E, CA 94005					P	Form filed by Mo erson	ore than One Re	porting		
(City)	(State)	(Zip)	Table I - Non	-Derivative	e Secu	rities Acqui	red, Disposed of,	or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	3. if Transact Code ar) (Instr. 8)	iotor Dispos (Instr. 3,	sed of	5)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
			Code V	Amount	or		Transaction(s) (Instr. 3 and 4)	(Instr. 4)			
Common Stock	04/10/2015		М	296	А	\$ 10.43	549,728	D			
Common Stock	04/10/2015		S	296	D	\$ 13.5303 (1)	549,432	D			
Common Stock	04/10/2015		М	14,023	А	\$ 10.43	563,455	D			
Common Stock	04/10/2015		S	14,023	D	\$ 13.3447 (2)	549,432	D			
	04/13/2015		М	19,277	А	\$ 10.43	568,709	D			

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Common Stock										
Common Stock	04/13/201	5	S 1	9,277	D	\$ 13.455 (3)	549,432	D		
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.										
				inform requir	natio ed to ys a	on contained o respond u	I to the collectio I in this form are Inless the form alid OMB contro	e not (9	1474 -02)	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	TransactionDerivative Expiration		Expiration Date (Month/Day/Yea	piration Date		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code	V (	(A) (D)	Date Exercisable	Expiration Date	Title	Amou Numb Shares
Employee Stock Option (Right to Buy)	\$ 10.43	04/10/2015		М		296	05/28/2011 <u>(4)</u>	05/28/2015	Common Stock	100,
Employee Stock Option (Right to Buy)	\$ 10.43	04/10/2015		М		14,023	06/01/2009 <u>(5)</u>	05/28/2015	Common Stock	33,3
Employee Stock Option (Right to Buy)	\$ 10.43	04/13/2015		М		19,277	06/01/2009 <u>(5)</u>	05/28/2015	Common Stock	33,3

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
CONNORS KEVIN P C/O CUTERA, INC. 3240 BAYSHORE BLVD	Х		President & CEO					

BRISBANE, CA 94005

## Signatures

/s/ Kevin P. Connors

04/14/2015

<u>\*\*</u>Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Stock sold pursuant to an employee stock option that was near its expiration date of May 28, 2015. The sales were made through a Rule 10b5-1 Plan and the stock prices ranged from \$13.51 to \$13.54, with \$13.5303 being the average. The reporting person undertakes to provide to Cutera, Inc., any security holder of Cutera, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

Stock sold pursuant to an employee stock option that was near its expiration date of May 28, 2015. The sales were made through a Rule 10b5-1 Plan and the stock prices ranged from \$13.27 to \$13.51, with \$13.3447 being the average. The reporting person undertakes to provide to Cutera, Inc., any security holder of Cutera, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

Stock sold pursuant to an employee stock option that was near its expiration date of May 28, 2015. The sales were made through a Rule 10b5-1 Plan and the stock prices ranged from \$13.25 to \$13.61, with \$13.455 being the average. The reporting person undertakes to

- (3) For a number of states and the stock prices ranged from \$12.25 to \$12.01, with \$15.155 being the average. The reporting person undertakes to provide to Cutera, Inc., any security holder of Cutera, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.
- (4) 100% of the shares subject to the stock option grant of 100,000 shares vested on May 28, 2011.
- (5) Shares subject to the 33,300 stock option grant, vested as follows: 25% on June 1, 2009 and monthly thereafter through June 1, 2012 when they became fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.