HAWTHORN BANCSHARES, INC.

Form 5

February 03, 2017

1 cordary os	, 2017										
FORM	1 5							OME	3 APPROVAL		
UNITED STATES SECURITIES AND EXCHANGE COMMISSION								N OMB Number	r. 3235-0362		
Check the	subject	W	Washington, D.C. 20549 'ATEMENT OF CHANGES IN BENE OWNERSHIP OF SECURITIES					Expires:	January 31		
to Section Form 4 o 5 obligati may cont	r Form ANN ions						EFICIAL	ed average hours per se 1.0			
may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported Form 4 Transactions Reported Transactions Reported											
1. Name and AWETZEL (Address of Reporting GUS S II	Symbol	2. Issuer Name and Ticker or Trading Symbol HAWTHORN BANCSHARES, INC. [HWBK]				5. Relationship of Reporting Person(s) to Issuer				
							(Check all applicable)				
(Last)	(First) (I	(Month)	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2016				Director Officer (gi		10% Owner Other (specify		
C/O HAWTHORN BANCSHARES, INC., 132 EAST HIGH STREET, PO BOX 688											
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Reporting (check applicable line)				
JEFFERSC	ON CITY, MOÂ	65102					_X_ Form Filed b Form Filed b Person				
(City)	(State)	(Zip) Tal	ble I - Non-De	rivative Se	ecuritio	es Acq	uired, Disposed	of, or Benefi	cially Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A)		5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
				Amount	or (D)	Price	4)				
Common stock	Â	Â	Â	Â	Â	Â	84,183 (1)	I	Wetzel Investments LTD		
Common Stock	Â	Â	Â	Â	Â	Â	90 (1)	D	Â		

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 2270 (9-02)

Of D So

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Derivative	Conversion	(Month/Day/Year)		4. Transaction	S. Number	Expiration D		Amou	nt of	8. Price of Derivative	
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	rlying	Security	
(Instr. 3) Price of Derivative Security			(Month/Day/Year)	(Instr. 8)	Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			Securi (Instr.	ities 3 and 4)	(Instr. 5)	
					(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Othe		
WETZEL GUS S II						
C/O HAWTHORN BANCSHARES, INC.	Â	Â	Â	Â		
132 EAST HIGH STREET, PO BOX 688	А					
IEFFERSON CITY Â MOÂ 65102						

Signatures

/s/ Gus S.
Wetzel, II

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired since last report from the Company's July 1, 2016 4% stock dividend.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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