

RABORN FRANCIS

Form 4

May 13, 2013

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
RABORN FRANCIS

2. Issuer Name **and** Ticker or Trading
Symbol
Allison Transmission Holdings Inc
[ALSN]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
ONE ALLISON WAY
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
05/09/2013

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

INDIANAPOLIS, IN 46222

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock ⁽¹⁾	05/09/2013		A	4,068 A	\$ 0 ⁽²⁾ 4,068	D	

Common
Stock

20,000 ⁽³⁾ I ⁽³⁾

By Francis
Raborn
Revocable
Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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information contained in this form are not
required to respond unless the form
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SEC 1474
(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units ⁽⁴⁾	<u>(5)</u>	05/09/2013		A		3,211		<u>(5)</u>	<u>(5)</u>	Common Stock	3,211
Restricted Stock Units	<u>(5)</u>	05/09/2013		G	V		3,211	<u>(5)</u>	<u>(5)</u>	Common Stock	3,211
Restricted Stock Units	<u>(5)</u>	05/09/2013		G	V	3,211		<u>(5)</u>	<u>(5)</u>	Common Stock	3,211

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
RABORN FRANCIS ONE ALLISON WAY INDIANAPOLIS, IN 46222	X

Signatures

/s/ Eric C. Scroggins,
attorney-in-fact

05/13/2013

 Signature of Reporting Person

 Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The common stock represents the reporting person's annual retainer under the Allison Transmission Holdings, Inc. (the "Company") Non-Employee Director Compensation Policy.
- (2) The number of shares of common stock received was calculated based on \$23.35, which was the closing price of the Company's common stock on the date of grant.
- (3) In a prior Form 4, the shares of common stock were incorrectly reported as being directly held by the reporting person. The shares of common stock are held by the Francis Raborn Revocable Trust.

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- (4) The restricted stock units ("RSUs") represent the reporting person's meeting fees under the Company's Non-Employee Director Compensation Policy.

Each RSU represents a contingent right to receive one share of the Company's common stock. The RSUs vest on the date of the next annual meeting of the stockholders of the Company. Unvested RSUs earn dividend equivalents when dividends are declared on the Company's common stock.

- (6) The number of RSUs received was calculated based on \$23.35, which was the closing price of the Company's common stock on the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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