LaPinska Deborah L Form 4 April 08, 2010

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

30(h) of the Investment Company Act of 1940

OMB Number:

3235-0287

Expires:

January 31, 2005

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OMB APPROVAL

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

See Instruction

1(b).

(Print or Type Responses)

(Last)

(City)

DRIVE

1. Name and Address of Reporting Person * LaPinska Deborah L

(First)

(Street)

(State)

PGT, INC., 1070 TECHNOLOGY

(Middle)

(Zip)

2. Issuer Name and Ticker or Trading

Symbol

PGT, Inc. [PGTI]

3. Date of Earliest Transaction

(Month/Day/Year) 04/06/2010

Director

10% Owner

X_ Officer (give title below)

Issuer

Other (specify below)

V.P. Sales and Marketing

(Check all applicable)

5. Relationship of Reporting Person(s) to

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

NORTH VENICE, FL 34275

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially (D) or Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

(A) (D) Price

Transaction(s) (Instr. 3 and 4)

Common Stock, par

per share

04/06/2010 value \$0.01

D

25.907 D

Amount

(1) 16,941 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amor Underlying Secur (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Am Nu: Sha
Employee Stock Option (right to buy)	\$ 2	04/06/2010(2)		A	483,808		(3)	04/06/2020	Common Stock, par value \$0.01 per share	48
Employee Stock Option (right to buy)	\$ 8.64	04/06/2010(2)		D		72,828	(4)	01/29/2014	Common Stock, par value \$0.01 per share	71
Employee Stock Option (right to buy)	\$ 8.64	04/06/2010(2)		D		26,483	<u>(5)</u>	01/06/2015	Common Stock, par value \$0.01 per share	20

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

LaPinska Deborah L PGT, INC. 1070 TECHNOLOGY DRIVE NORTH VENICE, FL 34275

V.P. Sales and Marketing

Signatures

/s/ Deborah L. LaPinska 04/08/2010

**Signature of Reporting Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person agreed to the forfeiture of 25,907 shares of unvested restricted stock granted to her on January 21, 2009, and options granted to her on July 8, 2004 and July 6, 2005, in exchange for a new option having a lower exercise price.

Reporting Owners 2

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- (2) The option grant was approved by the board of directors of PGT, Inc. (the "Company") under the PGT, Inc. Amended and Restated
- (2) 2006 Equity Incentive Plan on March 18, 2010, subject to stockholder approval of the Amended and Restated 2006 Equity Incentive Plan. The Company's stockholders approved the Amended and Restated 2006 equity Incentive Plan on April 6 2010.
- (3) The option becomes exercisable in five equal installments beginning on April 6, 2011.
- (4) The option is fully exercisable.
- (5) (5) 80% is presently exercisable, and 20% was schedule to vest on July 6, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.