

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form NSAR-A

SPECIAL OPPORTUNITIES FUND, INC.

Form NSAR-A

August 28, 2015

000 A000000 06/30/2015
000 C000000 0000897802
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 Special Opportunities Fund, Inc.
001 B000000 811-07528
001 C000000 8776070414
002 A000000 615 East Michigan Street
002 B000000 Milwaukee
002 C000000 WI
002 D010000 53202
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
008 A000001 BULLDOG INVESTORS, LLC
008 B000001 A
008 C000001 801-70596
008 D010001 SADDLE BROOK
008 D020001 NJ
008 D030001 07663
010 A000001 U.S. BANCORP FUND SERVICES, LLC
010 B000001 85-11357
010 C010001 MILWAUKEE
010 C020001 WI
010 C030001 53202
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY, LLC
012 B000001 84-00416
012 C010001 NEW YORK
012 C020001 NY
012 C030001 10038
013 A000001 TAIT, WELLER & BAKER LLP
013 B010001 PHILADELPHIA
013 B020001 PA
013 B030001 19103
015 A000001 U.S. BANK, N.A.
015 B000001 C
015 C010001 MILWAUKEE
015 C020001 WI
015 C030001 53212
015 E010001 X
018 000000 Y
019 A000000 N
019 B000000
020 A000001 MERLIN SECURITIES, LLC
020 B000001 84-1642266
020 C000001 34
020 A000002 RAYMOND JAMES & ASSOCIATES, INC.
020 B000002 59-1237041
020 C000002 16
020 A000003 COMPASS POINT RESEARCH & TRADING, LLC
020 B000003 43-593202

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020 C000003 10
 020 A000004 WALLACH BETH
 020 B000004 00-0000000
 020 C000004 7
 020 A000005 CANTOR FITZGERALD & CO.
 020 B000005 13-3680184
 020 C000005 6
 020 A000006 CREDIT RESEARCH & TRADING, LLC
 020 B000006 00-0000000
 020 C000006 6
 020 A000007 SIDOTI & CO., LLC
 020 B000007 00-0000000
 020 C000007 4
 020 A000008 NATIONAL FINANCIAL SERVICES CORP.
 020 B000008 00-0000000
 020 C000008 1
 020 A000009 WALL STREET MANAGEMENT CORPORATION
 020 B000009 13-2508293
 020 C000009 1
 020 A000010 EUROCLEAR BRUSSELS
 020 B000010 00-0000000
 020 C000010 1
 021 000000 88
 022 A000001 CANTOR FITZGERALD & CO.
 022 B000001 95-1786286
 022 C000001 1578
 022 D000001 0
 022 A000002 EARLY BIRD CAPITAL INC.
 022 B000002 65-0379410
 022 C000002 1376
 022 D000002 0
 022 A000003 MAXIM FINANCIAL CORP.
 022 B000003 04-3640096
 022 C000003 364
 022 D000003 0
 022 A000004 DEUTSCHE BANK TRUST CO.
 022 B000004 13-3818112
 022 C000004 156
 022 D000004 90
 022 A000005 NUMIS SECURITIES INC
 022 B000005 00-0000000
 022 C000005 0
 022 D000005 82
 023 C000000 3475
 023 D000000 172
 024 000000 N
 026 A000000 N
 026 B000000 Y
 026 C000000 N
 026 D000000 Y
 026 E000000 N
 026 F000000 N
 026 G010000 N
 026 G020000 N
 026 H000000 N
 027 000000 N
 045 000000 Y
 046 000000 N
 047 000000 Y
 048 000000 1.000
 048 A010000 0
 048 A020000 0.000

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| | | |
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| 048 | F020000 | 0.000 |
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| 048 | H020000 | 0.000 |
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| 048 | J020000 | 0.000 |
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| 048 | K020000 | 0.000 |
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| 050 | 000000 | N |
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| 052 | 000000 | N |
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| 054 | B000000 | Y |
| 054 | C000000 | N |
| 054 | D000000 | N |
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| 054 | F000000 | N |
| 054 | G000000 | Y |
| 054 | H000000 | Y |
| 054 | I000000 | N |
| 054 | J000000 | Y |
| 054 | K000000 | N |
| 054 | L000000 | N |
| 054 | M000000 | Y |
| 054 | N000000 | N |
| 054 | O000000 | Y |
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| 055 | B000000 | N |
| 056 | 000000 | Y |
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| 058 | A000000 | N |
| 059 | 000000 | Y |
| 060 | A000000 | Y |
| 060 | B000000 | Y |
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| 062 | K000000 | 0.0 |
| 062 | L000000 | 0.0 |
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| | | |
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| 066 | D000000 | N |
| 066 | E000000 | N |
| 066 | F000000 | N |
| 066 | G000000 | Y |
| 067 | 000000 | N |
| 068 | A000000 | N |
| 068 | B000000 | N |
| 069 | 000000 | N |
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| 070 | A020000 | N |
| 070 | B010000 | Y |
| 070 | B020000 | N |
| 070 | C010000 | Y |
| 070 | C020000 | N |
| 070 | D010000 | Y |
| 070 | D020000 | N |
| 070 | E010000 | Y |
| 070 | E020000 | N |
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| 070 | O010000 | Y |
| 070 | O020000 | N |
| 070 | P010000 | Y |
| 070 | P020000 | N |
| 070 | Q010000 | Y |
| 070 | Q020000 | N |
| 070 | R010000 | Y |
| 070 | R020000 | Y |
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| 071 | B000000 | 33682 |
| 071 | C000000 | 169409 |
| 071 | D000000 | 20 |
| 072 | A000000 | 6 |
| 072 | B000000 | 204 |
| 072 | C000000 | 2940 |
| 072 | D000000 | 0 |
| 072 | E000000 | 0 |
| 072 | F000000 | 885 |

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| | |
|-------------|--------|
| 072 G000000 | 67 |
| 072 H000000 | 26 |
| 072 I000000 | 7 |
| 072 J000000 | 20 |
| 072 K000000 | 0 |
| 072 L000000 | 19 |
| 072 M000000 | 84 |
| 072 N000000 | 17 |
| 072 O000000 | 0 |
| 072 P000000 | 0 |
| 072 Q000000 | 27 |
| 072 R000000 | 25 |
| 072 S000000 | 39 |
| 072 T000000 | 0 |
| 072 U000000 | 0 |
| 072 V000000 | 0 |
| 072 W000000 | 52 |
| 072 X000000 | 1268 |
| 072 Y000000 | 0 |
| 072 Z000000 | 1876 |
| 072AA000000 | 2095 |
| 072BB000000 | 1273 |
| 072CC010000 | 0 |
| 072CC020000 | 547 |
| 072DD010000 | 0 |
| 072DD020000 | 0 |
| 072EE000000 | 0 |
| 073 A010000 | 0.0000 |
| 073 A020000 | 0.0000 |
| 073 B000000 | 0.0000 |
| 073 C000000 | 0.0000 |
| 074 A000000 | 16 |
| 074 B000000 | 0 |
| 074 C000000 | 2835 |
| 074 D000000 | 7972 |
| 074 E000000 | 7320 |
| 074 F000000 | 49275 |
| 074 G000000 | 0 |
| 074 H000000 | 0 |
| 074 I000000 | 112599 |
| 074 J000000 | 196 |
| 074 K000000 | 0 |
| 074 L000000 | 349 |
| 074 M000000 | 255 |
| 074 N000000 | 180817 |
| 074 O000000 | 978 |
| 074 P000000 | 149 |
| 074 Q000000 | 0 |
| 074 R010000 | 0 |
| 074 R020000 | 807 |
| 074 R030000 | 0 |
| 074 R040000 | 91 |
| 074 S000000 | 0 |
| 074 T000000 | 178792 |
| 074 U010000 | 10383 |
| 074 U020000 | 0 |
| 074 V010000 | 17.22 |
| 074 V020000 | 0.00 |
| 074 W000000 | 0.0000 |
| 074 X000000 | 3451 |
| 074 Y000000 | 0 |
| 075 A000000 | 0 |

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075 B000000 178513
076 000000 15.29
077 A000000 N
077 B000000 N
077 C000000 N
077 D000000 N
077 E000000 N
077 F000000 N
077 G000000 N
077 H000000 N
077 I000000 N
077 J000000 N
077 K000000 N
077 L000000 N
077 M000000 N
077 N000000 N
077 O000000 N
077 P000000 N
077 Q010000 N
077 Q020000 N
077 Q030000 N
078 000000 N
086 A010000 261
086 A020000 4017
086 B010000 44
086 B020000 674
086 C010000 0
086 C020000 0
086 D010000 0
086 D020000 0
086 E010000 0
086 E020000 0
086 F010000 0
086 F020000 0
087 A010000 COMMON STOCK
087 A020000 84741T104
087 A030000 SPE
088 A000000 Y
088 B000000 N
088 C000000 N
088 D000000 Y
SIGNATURE Andrew Dakos
TITLE President