Fogarty Kevin Michael Form 4 September 24, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Number: January 31, Expires: 2005

OMB APPROVAL

Section 16. Form 4 or Form 5 obligations

SECURITIES

Estimated average burden hours per response... 0.5

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Fogarty Kevin Michael

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

Kraton Corp [KRA]

09/20/2018

(Middle) (Last) (First)

3. Date of Earliest Transaction (Month/Day/Year)

(Check all applicable) _X__ Director 10% Owner

C/O KRATON CORPORATION, 15710 JOHN F. KENNEDY BLVD., SUITE 300

X_ Officer (give title Other (specify below)

President and CEO

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

HOUSTON, TX 77032

(City)	(State)	(Zip) Tak	ole I - Non-	Derivative :	Secu	rities Acquir	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securition of Dispose (Instr. 3, 4) Amount	ed of ((D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	09/20/2018(1)		M	18,856	A	\$ 14.46	273,281	D	
Common Stock	09/20/2018(1)		S	18,856	D	\$ 50	254,425	D	
Common Stock	09/21/2018(1)		M	27,748	A	\$ 14.46	282,173	D	
Common Stock	09/21/2018(1)		S	27,748	D	\$ 50.0009 (2)	254,425	D	

Edgar Filing: Fogarty Kevin Michael - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Options (Right to Buy) (3)	\$ 14.46	09/20/2018		M	18,856	(3)	01/03/2020	Common Stock	18,856
Employee Options (Right to Buy) (3)	\$ 14.46	09/21/2018		M	27,748	<u>(3)</u>	01/03/2020	Common Stock	27,748

Reporting Owners

Reporting Owner Name / Address	Relationships					
. 0	Director	10% Owner	Officer	Other		
Fogarty Kevin Michael						
C/O KRATON CORPORATION	X		President and CEO			
15710 JOHN F. KENNEDY BLVD., SUITE 300	A President and		President and CEO	EU		
HOUSTON, TX 77032						

Signatures

/s/ James L. Simmons As Attorney-in-Fact for Kevin M. 09/24/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on June 15, 2018.

Reporting Owners 2

Edgar Filing: Fogarty Kevin Michael - Form 4

- The price in column 4 is a weighted average. The prices actually received ranged from \$50 to \$50.20. For all transactions reported in this
- (2) Form 4 utilizing a weighted average price, the reporting person undertakes to provide upon request by the SEC staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range.
- (3) The options became exercisable in equal installments on each of the first five anniversaries of January 3, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.