

ADVANCED ENERGY INDUSTRIES INC

Form 4

May 05, 2005

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
SPEDDEN ELWOOD

2. Issuer Name **and** Ticker or Trading
Symbol
ADVANCED ENERGY
INDUSTRIES INC [AEIS]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

1625 SHARP POINT DRIVE

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
05/04/2005

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

FORT COLLINS, CO US

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock			Code V	Amount (D) Price	0	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)**

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Director Stock Option (right to buy)	\$ 11.05							09/20/1995 ⁽¹⁾	09/20/2005	Common Stock	4,500
Director Stock Option (right to buy)	\$ 6.125							12/16/1996 ⁽²⁾	12/16/2006	Common Stock	2,500
Director Stock Option (right to buy)	\$ 29.875							09/22/1997 ⁽²⁾	09/22/2007	Common Stock	2,500
Director Stock Option (right to buy)	\$ 8.25							09/20/1998 ⁽²⁾	09/20/2008	Common Stock	2,500
Director Stock Option (right to buy)	\$ 36.9375							09/20/1999 ⁽²⁾	09/20/2009	Common Stock	2,500
Director Stock Option (right to buy)	\$ 46.125							09/19/2000 ⁽²⁾	09/19/2010	Common Stock	2,500
Director Stock Option (right to buy)	\$ 18.08							09/20/2001 ⁽²⁾	09/20/2011	Common Stock	2,500

Director Stock Option (right to buy)	\$ 8.6				09/20/2002 ⁽²⁾	09/20/2012	Common Stock	2,500
Director Stock Option (right to buy)	\$ 10.67				05/07/2003 ⁽³⁾	05/07/2013	Common Stock	5,000
Director Stock Option (right to buy)	\$ 14.4				05/05/2004 ⁽³⁾	05/05/2014	Common Stock	5,000
Director Stock Option (right to buy)	\$ 10.9	05/04/2005	A	5,000	05/04/2005 ⁽³⁾	05/04/2015	Common Stock	5,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SPEDDEN ELWOOD 1625 SHARP POINT DRIVE FORT COLLINS, CO US	X			

Signatures

Mary K. Derr -
Attorney-in-Fact

05/05/2005

 **Signature of Reporting Person

 Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The director stock option granted on September 20, 1995 was immediately exercisable as to 2,500 shares of Common Stock and vested as to 2,500 shares on each of September 20, 1997 and September 20, 1998.
- The director stock options granted on December 26, 1996, Septemebr 22, 1997, September 20, 1998, September 20, 1999, September 19, 2000, September 20, 2001 and September 20, 2002 will vest as to 2,500 shares of Common Stock on the third anniversary of the grant date.
- (2) The director stock options granted on May 7, 2003, May 5, 2004 and May 4, 2005 are fully exercisable on the date of grant.
- (3) Mr. Spedden has Director Stock Options (right to buy) for a total of 37,000 shares of Common Stock with the above exercise prices and expiration dates.
- (4)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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