#### **GUILMART JAMES G**

Form 4

February 02, 2005

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 

**OMB APPROVAL** 

Number:

3235-0287 January 31,

if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

2005 Estimated average burden hours per

Expires:

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

response... 0.5

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

5. Relationship of Reporting Person(s) to Issuer

**GUILMART JAMES G** 

Symbol

ADVANCED ENERGY (Check all applicable) **INDUSTRIES INC [AEIS]** 

(Last)

(First)

(Middle)

3. Date of Earliest Transaction

Director 10% Owner X\_ Officer (give title Other (specify

1625 SHARP POINT DRIVE

(Street)

01/31/2005

(Month/Day/Year)

below) below) **SVP Sales** 

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

FORT COLLINS, CO US

(City) (State) (Zip)

01/31/2005

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

Common

Stock

(Month/Day/Year) Execution Date, if

2. Transaction Date 2A. Deemed

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

Securities Beneficially Owned Following Reported

5. Amount of

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

(A) or

Transaction(s) (Instr. 3 and 4)

 $2,081 \frac{(2)}{}$ 

A

Code V Amount (D) Price

1,500

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Α

(1)

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	actionDerivative Expiration Date Underlyin		Expiration Date		7. Title and A Underlying S (Instr. 3 and	g Securition	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha	
Employee Stock Option (right to buy)	\$ 36.5625						10/13/2000(3)	10/13/2009	Common Stock	10,0	
Employee Stock Option (right to buy)	\$ 43.6875						01/13/2001(3)	01/13/2010	Common Stock	2,00	
Employee Stock Option (right to buy)	\$ 60.75						07/13/2001(3)	07/13/2010	Common Stock	25,0	
Employee Stock Option (right to buy)	\$ 30.1875						10/11/2001(3)	10/11/2010	Common Stock	25,0	
Employee Stock Option (right to buy)	\$ 26.125						02/07/2002(3)	02/07/2011	Common Stock	4,68	
Employee Stock Option (right to buy)	\$ 28.55						04/11/2002(3)	04/11/2011	Common Stock	4,68	
Employee Stock Option (right to buy)	\$ 36.49						05/24/2002(4)	05/24/2011	Common Stock	500	
	\$ 32.19						07/11/2002(3)	07/11/2011		4,68	

Employee Stock Option (right to buy)				Common Stock	
Employee Stock Option (right to buy)	\$ 18	10/10/2002(3)	10/10/2011	Common Stock	4,68
Employee Stock Option (right to buy)	\$ 24.9	02/12/2003(3)	02/12/2012	Common Stock	10,0
Employee Stock Option (right to buy)	\$ 38.55	04/16/2003(3)	04/16/2012	Common Stock	10,0
Employee Stock Option (right to buy)	\$ 17.85	07/18/2003(3)	07/18/2012	Common Stock	10,0
Employee Stock Option (right to buy)	\$ 7.7	10/17/2003 <u>(3)</u>	10/17/2012	Common Stock	10,0
Employee Stock Option (right to buy)	\$ 9.12	02/12/2004(3)	02/12/2013	Common Stock	5,00
Employee Stock Option (right to buy)	\$ 7.61	04/16/2004(3)	04/16/2013	Common Stock	5,00
Employee Stock Option (right to buy)	\$ 19.24	07/23/2004(3)	07/23/2013	Common Stock	5,00
	\$ 22.52	10/15/2004(3)	10/15/2013		5,00

Employee Stock Option (right to buy)						Common Stock	
Employee Stock Option (right to buy)	\$ 22.3				02/11/2005(3) 02/11/20	14 Common Stock	3,75
Employee Stock Option (right to buy)	\$ 20.81				04/14/2005(3) 04/14/20	14 Common Stock	3,75
Employee Stock Option (right to buy)	\$ 12.8				07/20/2005(3) 07/20/20	Common Stock	3,75
Employee Stock Option (right to buy)	\$ 10.37				10/19/2005 <u>(3)</u> 10/19/20	14 Common Stock	3,75
Employee Stock Option (right to buy)	\$ 7.15	01/31/2005	A	10,000	01/31/2006 <sup>(5)</sup> 01/31/20	15 Common Stock	10,0

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Troporting of the result of th	Director	10% Owner	Officer	Other			
GUILMART JAMES G 1625 SHARP POINT DRIVE FORT COLLINS, CO US			SVP Sales				

# **Signatures**

Michael El-Hillow - 02/02/2005 Attorney-in-Fact

\*\*Signature of Reporting Person Date

Reporting Owners 4

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares of restricted stock units.
- (2) Includes 1,500 shares of restricted stock units.
  - Stock options dated 10/13/1999, 01/13/2000, 07/13/2000, 10/11/2000, 02/07/2001, 04/11/2001, 07/11/2001, 10/10/2001, 02/12/2002, 04/16/2002, 07/13/2002, 04/16/2002, 07/13/2002, 04/16/2002, 07/13/2002, 04/16/2002, 07/13/2002, 04/16/2002, 07/13/2002, 04/16/2002, 07/13/2002, 04/16/2002, 07/13/2002, 04/16/2002, 07/13/2002, 04/16/2002, 07/13/2002, 04/16/2002, 07/13/2002, 04/16/2002, 07/13/2002, 04/16/2002, 07/13/2002, 04/16/2002, 07/13/2002, 04/16/2002
- (3) 04/16/2002, 07/18/2002, 10/17/2002, 02/12/2003, 04/16/2003, 07/23/2003, 10/15/2003, 02/11/2004, 04/14/2004, 07/20/2004, and 10/19/2004 are such that 25% of the shares become exercisable on the first anniversary following the date of grant, and the remaining shares become exercisable in equal increments each quarter for 3 years thereafter.
- (4) Stock option dated May 24, 2001 is fully exercisable 1 year from the date of grant, which was May 24, 2002.
- (5) Stock option dated 01/31/05 is such that 25% of the shares become exercisable on the first anniversary following the date of grant and the remaining shares become exercisable in equal increments each year for 3 years thereafter.
- (6) Mr. Guilmart has Employee Stock Options (right to buy) for a total of 166,250 shares of Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.