

Molinaroli Alex A  
 Form 4  
 November 02, 2012

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2015  
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Molinaroli Alex A

2. Issuer Name and Ticker or Trading Symbol  
 JOHNSON CONTROLS INC [JCI]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

5757 N. GREEN BAY AVENUE, P.O. BOX 591

3. Date of Earliest Transaction (Month/Day/Year)  
 11/01/2012

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)

Vice President

(Street)

MILWAUKEE, WI 53201

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount  | Price  |   |
| Common Stock                    | 11/01/2012                           |  | M                              |   | 12,507.953  | \$ 26.04   | A 36,449.953 D  |
| Common Stock                    | 11/01/2012                           |  | D                              |   | 12,507.953  | \$ 26.04   | D 23,942 D  |
| Common Stock                    |                                      |  |                                |   |   | 47,276.049 (1)   | I 401(k) Plan Trust                                   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not

SEC 1474 (9-02)

required to respond unless the form displays a currently valid OMB control number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3)        | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Underlying Security (Instr. 3 and 4) |              |
|---|--|--------------------------------------|--|--------------------------------|---|--|---|--------------|
|   |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date                                   | Title        |
| Phantom Stock Units - Restricted Stock Grants     | \$ 0 <sup>(2)</sup>                                    | 11/01/2012                           |  | M                              | 12,507.953  | <sup>(3)</sup>   | <sup>(3)</sup>                                    | Common Stock |
| Phantom Stock Units - Retirement Restoration Plan | <sup>(2)</sup>   |                                      |  |                                |   | <sup>(4)</sup>   | <sup>(4)</sup>                                    | Common Stock |
| Phantom Stock Units - Annual Incentive Plan       | <sup>(2)</sup>   |                                      |  |                                |   | <sup>(5)</sup>   | <sup>(5)</sup>                                    | Common Stock |
| Phantom Stock Units - Long-Term Incentive Plan    | <sup>(2)</sup>   |                                      |  |                                |   | <sup>(6)</sup>   | <sup>(6)</sup>                                    | Common Stock |
| Employee Stock Option (Right to Buy)              | \$ 23.965  |                                      |  |                                |   | 10/02/2008   | 10/02/2016  | Common Stock |
| Employee Stock Option (Right to Buy)              | \$ 40.21   |                                      |  |                                |   | 10/01/2009   | 10/01/2017  | Common Stock |
|   | \$ 28.79   |                                      |  |                                |   | 10/01/2010   | 10/01/2018  |              |



## Edgar Filing: Molinaroli Alex A - Form 4

- (2) Each unit of phantom stock is the economic equivalent of one share of Johnson Controls common stock.
- (3) The restricted stock units accrue under the Johnson Controls Restricted Stock Plan. The balance includes phantom stock and dividend equivalent units that settle 100% in cash and relate to restricted stock awards.
- (4) The phantom stock units were accrued under the Johnson Controls Retirement Restoration Plan, and are to be settled 100% in cash following the reporting person's termination of employment with the company.
- (5) The phantom stock units were accrued under the Johnson Controls Annual Incentive Plan and are to be settled 100% in cash following the reporting person's termination of employment with the company.
- (6) The phantom stock units were accrued under the Johnson Controls Long-Term Incentive Plan and are to be settled 100% in cash following the reporting person's termination of employment with the company.
- (7) Fifty percent of the options vest after two years and the remaining 50% vests after three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.