Edgar Filing: Edwards Jeffrey S - Form 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 Fil	ATEMENT OF CH ed pursuant to Secti on 17(a) of the Publ	CURITIES AND EXCHANGE Co Washington, D.C. 20549 HANGES IN BENEFICIAL OWN SECURITIES on 16(a) of the Securities Exchange ic Utility Holding Company Act of he Investment Company Act of 1940	ERSHIP OF Act of 1934, 1935 or Section	OMB Number: Expires: Estimated a burden hour response	•	
1. Name and Address of Reporting Person <u>*</u> Edwards Jeffrey S			. Relationship of Reporting Person(s) to ssuer (Check all applicable)			
(Last) (First) 49200 HALYARD DF BOX 8010	(Mo	ate of Earliest Transaction nth/Day/Year) 03/2008	Director X Officer (give t below)	10%	Owner r (specify	
(Street) PLYMOUTH, MI 481	File	d(Month/Day/Year)	Applicable Line) _X_ Form filed by Or	Form filed by One Reporting Person Form filed by More than One Reporting		
(City) (State)	(Zip)	Table I - Non-Derivative Securities Acqu		or Beneficiall	v Owned	
	n Date 2A. Deemed Year) Execution Date any (Month/Day/Ye	3. 4. Securities Acquired (A) if Transaction Disposed of (D) Code (Instr. 3, 4 and 5)		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common 12/03/200 Stock	8	A 5.8374 A ^{\$} 17.1313	78,852.177 3 (1)	D		
Common Stock			9,773.881 (2)	I	By 401(k) Plan Trust	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: Edwards Jeffrey S - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and A Underlying S (Instr. 3 and 4	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom Stock Units - Excess Benefit Plan	(3)					<u>(4)</u>	<u>(4)</u>	Common Stock	1,226.71
Phantom Stock Units - Annual Incentive Plan	<u>(3)</u>					<u>(5)</u>	(5)	Common Stock	12,188.5
Phantom Stock Units - Restricted Stock Plan	<u>(6)</u>					<u>(6)</u>	<u>(6)</u>	Common Stock	1,083.63
Employee Stock Option (Right to Buy)	\$ 17.5167					11/19/2005 <u>(7)</u>	11/19/2013	Common Stock	60,000
Employee Stock Option (Right to Buy)	\$ 20.5633					11/17/2006 <u>(7)</u>	11/17/2014	Common Stock	90,000
Employee Stock Option (Right to Buy)	\$ 22.5617					11/16/2007 <u>(7)</u>	11/16/2015	Common Stock	150,000

Employee Stock Option (Right to Buy)	\$ 23.965	10/02/2008 <u>(7)</u>	10/02/2016	Common Stock	60,000
Employee Stock Option (Right to Buy)	\$ 40.21	10/01/2009 <u>(7)</u>	10/01/2017	Common Stock	36,000
Employee Stock Option (Right to Buy)	\$ 28.79	10/01/2010 <u>(7)</u>	10/01/2018	Common Stock	45,000

Reporting Owners

Reporting Owner Name / Address	Relationships					
Reporting Owner Funct, Huntess	Director	10% Owner	Officer	Other		
Edwards Jeffrey S 49200 HALYARD DRIVE P.O. BOX 8010 PLYMOUTH, MI 48170			Vice Pres	sident		
Signatures						
Arlene D. Gumm, Attorney-in-Fact for Jeffrey S. Edwards			12/04/2008			
**Signature of Reporti	ng Person			Date		

Explanation of Responses:

*	If the form is filed by more than one reporting person, <i>see</i> Instruction 4(b)(v).
**	Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
(1)	Includes shares for restricted stock grants: 4,500 vest on 11/1/2009, 22,500 vest on 1/3/2010, and 4, 500 vest on 11/1/2011.
(2)	The number of underlying securities is based on the stock fund balance on December 3, 2008. The actual number of shares issuable upon the distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on a December 3, 2008, stock fund price of \$17.68 per share.
(3)	Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock. Shares of phantom stock are payable in cash following the reporting person's termination of employment with the company and may be transferred by the reporting person into an alternative investment account at any time.
(4)	The phantom stock units were accrued under the Johnson Controls Equalization 401(k) Benefit Plan and are to be settled 100% in cash upon the reporting person's retirement or other termination of service with the company.
(5)	The phantom stock units were accrued under the Johnson Controls Annual Incentive Compensation Plan and are to be settled 100% in cash upon the reporting person's retirement or other termination of service with the company.
(6)	Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock. The restricted stock units were accrued under the Johnson Controls Restricted Stock Plan. The units represent the reinvestment of dividends and are to

Edgar Filing: Edwards Jeffrey S - Form 4

be settled 100% in cash upon the vesting of the reporting person's Restricted Stock Grant.

(7) Fifty percent of the options become exercisable two years after the grant date; the remaining 50%, three years after grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.