## Edgar Filing: DUPONT E I DE NEMOURS & CO - Form 4

DUPONT E I DE NEMOURS & CO Form 4 August 06, 2013 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading BOREL JAMES C Issuer Symbol DUPONT E I DE NEMOURS & CO (Check all applicable) [DD] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_Officer (give title Other (specify (Month/Day/Year) below) below) 1007 MARKET STREET, D-9000 08/02/2013 Executive Vice President (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting WILMINGTON, DE 19898 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3, 4 and 5) (Instr. 3) any Code Beneficially Form: Beneficial (Month/Day/Year) Owned Direct (D) Ownership (Instr. 8) Following or Indirect (Instr. 4) Reported  $(\mathbf{I})$ (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price Common 206,260.0007 S 08/02/2013  $M^{(1)}$ 65,000 А D Stock 23.28 (2) Common 141,260.0007 08/02/2013 **S**<sup>(1)</sup> 65,000 D \$60 D Stock (2) **DuPont** Common Retirement 12,202.6048 I Stock Savings Plan I **DuPont** Common 639.9507 Stock Retirement Savings

								Re: Pla	storation n				
Reminder: Report on a separate line for each class of securities benefic							not (	SEC 1474 (9-02)					
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)													
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) 6. Date Exercisable and (Month/Day/Year) (Month/Day/Year)			7. Title and Amoun Underlying Securiti (Instr. 3 and 4)					
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amou or Numt of Sha			
Employee Stock Option (right to buy)	\$ 23.28	08/02/2013		M <u>(3)</u>		65,000	02/04/2010 <u>(4)</u>	02/03/2016	Common Stock	65,0			

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## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
BOREL JAMES C 1007 MARKET STREET D-9000 WILMINGTON, DE 19898			Executive Vice President					
Signatures								
Erik T. Hoover by Power of Attorney		08/06/20	13					
**Signature of Reporting Person		Date						
Explanation of Responses:								

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The acquisition and sale reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on February 27, 2013.

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- (2) Includes direct ownership, unvested RSUs and vested deferred stock units.
- (3) The disposition reported in this Form 4 was effected pursuant to a Rule 10b-5-1 trading plan adopted by the reporting person on February 27, 2013.
- (4) Options became exercisable in three equal annual installments beginning on the first anniversary of the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.