

SUWINSKI JAN
Form 4
June 01, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
SUWINSKI JAN

(Last) (First) (Middle)

3520 KRAFT ROAD, SUITE 300

(Street)

NAPLES, FL 34105

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

ACI WORLDWIDE, INC. [ACIW]

3. Date of Earliest Transaction (Month/Day/Year)

05/30/2018

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing (Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock	05/30/2018		M	30,000	A \$ 5.04	166,896	D
Common Stock	05/30/2018		S	30,000	D \$ 24.1942 (1)	136,896	D
Common Stock	05/31/2018		M	7,714	A \$ 6.1033	144,610	D
Common Stock	05/31/2018		S	7,714	D \$ 24.301 (2)	136,896	D
Common Stock	05/31/2018		M	13,500	A \$ 6.1033	150,396	D

Edgar Filing: SUWINSKI JAN - Form 4

Common Stock	05/31/2018	S	13,500	D	\$ <u>(3)</u> 24.4931	136,896	D
Common Stock	06/01/2018	M	8,786	A	\$ 6.1033	145,682	D
Common Stock	06/01/2018	S	8,786	D	\$ <u>(4)</u> 24.5556	136,896	D
Common Stock	06/01/2018	M	15,000	A	\$ 9.6667	151,896	D
Common Stock	06/01/2018	S	15,000	D	\$ <u>(5)</u> 24.6457	136,896	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non-Qualified Stock Option (right to buy)	\$ 5.04	05/30/2018		M	30,000	<u>(6)</u>	06/10/2019	Common Stock	30,000
Non-Qualified Stock Option (right to buy)	\$ 6.1033	05/31/2018		M	7,714	<u>(6)</u>	06/09/2020	Common Stock	7,714
Non-Qualified Stock Option (right to buy)	\$ 6.1033	05/31/2018		M	13,500	<u>(6)</u>	06/09/2020	Common Stock	13,500
Non-Qualified Stock Option (right to buy)	\$ 6.1033	06/01/2018		M	8,786	<u>(6)</u>	06/09/2020	Common Stock	8,786

Non-Qualified Stock Option (right to buy)	\$ 9.6667	06/01/2018	M	15,000	<u>(6)</u>	06/15/2021	Common Stock	15,000
---	-----------	------------	---	--------	------------	------------	-----------------	--------

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SUWINSKI JAN 3520 KRAFT ROAD SUITE 300 NAPLES, FL 34105	X			

Signatures

By: Dennis Byrnes, Attorney in Fact For: Jan H. Suwinski 06/01/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale price ranged from \$24.16 to \$24.22, with a weighted average sale price of \$24.194242. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (2) The sale price ranged from \$24.30 to \$24.32, with a weighted average sale price of \$24.300952. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (3) The sale price ranged from \$24.40 to \$24.53, with a weighted average sale price of \$24.493058. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (4) The sale price ranged from \$24.50 to \$24.64, with a weighted average sale price of \$24.555629. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (5) The sale price ranged from \$24.585 to \$24.70, with a weighted average sale price of \$24.645747. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (6) The options were granted pursuant to the ACI Worldwide, Inc. 2005 Equity and Performance Incentive Plan.

Remarks:

Mr. Suwinski is not standing for re-election to the ACI Worldwide, Inc. Board of Directors. The options reported herein would be exercised if Mr. Suwinski is elected to the Board of Directors.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.