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TRI-CONTINENTAL CORP

Form NSAR-B

February 27, 2014

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000 B000000 12/31/2013
000 C000000 0000099614
000 D000000 N
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000 J000000 A
001 A000000 TRI-CONTINENTAL CORPORATION
001 B000000 811-00266
001 C000000 6173859594
002 A000000 225 FRANKLIN STREET
002 B000000 BOSTON
002 C000000 MA
002 D010000 02110
003 000000 N
004 000000 N
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007 C010100 1
007 C020100 Tri-Continental Corporation
007 C030100 N
008 A000001 COLUMBIA MANAGEMENT INVESTMENT ADVISERS, LLC
008 B000001 A
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008 D010001 BOSTON
008 D020001 MA
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010 A000001 COLUMBIA MANAGEMENT INVESTMENT ADVISERS, LLC
010 B000001 801-25943
010 C010001 BOSTON
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012 A000001 COLUMBIA MANAGEMENT INVESTMENT SERVICES CORP.
012 B000001 84-05685
012 C010001 BOSTON
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012 C040001 8081
013 A000001 PRICEWATERHOUSECOOPERS LLP
013 B010001 MINNEAPOLIS
013 B020001 MN
013 B030001 55402
014 A000001 COLUMBIA MGMT INVESTMENT DISTRIBUTORS, INC.
014 B000001 8-25845
014 A000002 AMERICAN ENTERPRISE INVESTMENT SERVICES INC.
014 B000002 8-42582
014 A000003 AMERIPRISE FINANCIAL SERVICES, INC.
014 B000003 8-16791
014 A000004 RIVERSOURCE DISTRIBUTORS, INC.
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014 B000004 8-67196
015 A000001 JPMORGAN CHASE BANK, N.A.
015 B000001 C
015 C010001 NEW YORK

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015 A000004 BANCO ITAU URUGUAY S.A.
015 B000004 S
015 C010004 MONTEVIDEO
015 D010004 URUGUAY
015 E040004 X
015 A000005 BANCO NACIONAL DE MEXICO, S.A.
015 B000005 S
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015 A000007 BANK HANDLOWY W. WARSZAWIE S.A.
015 B000007 S
015 C010007 WARSAW
015 D010007 POLAND
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015 A000008 BANK LEUMI LE-ISRAEL B.M.
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015 A000009 BANQUE INTERNATIONALE ARABE DE TUNISIE, S.A.
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015 A000010 BNP PARIBAS SECURITIES SERVICES S.C.A.
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015 D010013 LUXEMBOURG
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015 A000016 CANADIAN IMPERIAL BANK OF COMMERCE
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015 A000017 CITIBANK A.S.
015 B000017 S
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015 A000018 CITIBANK DEL PERU S.A.
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015 B000026 S
015 C010026 LONDON
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015 A000027 FIRSTRAND BANK LIMITED
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015 C010027 JOHANNESBURG
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015 E040027 X
015 A000028 HSBC BANK (CHINA) COMPANY LIMITED
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015 C010028 SHANGHAI
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015 A000029 HSBC BANK (VIETNAM) LTD.
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015 A000030 HSBC BANK ARGENTINA S.A.
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015 A000031 HSBC BANK BERMUDA LIMITED
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015 A000038 HSBC BANK MIDDLE EAST LIMITED
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015 E040038 X
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015 B000040 S
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015 B000041 S
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015 A000048 J.P. MORGAN BANK INTERNATIONAL
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015 A000049 J.P. MORGAN S.A. DTVM
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015 C010049 SAO PAULO
015 D010049 BRAZIL
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015 A000050 JPMORGAN CHASE BANK, N.A.

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015 B000050 S
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015 D010050 AUSTRALIA
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015 C010057 STOCKHOLM
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015 D010058 DENMARK
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015 C010060 OSLO
015 D010060 NORWAY
015 E040060 X
015 A000061 PRIVREDNA BANKA ZAGREB D.D.
015 B000061 S
015 C010061 ZAGREB
015 D010061 CROATIA
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015 A000062 REPUBLIC BANK LIMITED

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015 B000062 S
015 C010062 PORT OF SPAIN
015 D010062 TRINIDAD AND TOBAGO
015 E040062 X
015 A000063 ROYAL BANK OF CANADA
015 B000063 S
015 C010063 TORONTO
015 D010063 CANADA
015 E040063 X
015 A000064 SANTANDER INVESTMENT, S.A.
015 B000064 S
015 C010064 MADRID
015 D010064 SPAIN
015 E040064 X
015 A000065 SB HSBC BANK KAZAKHSTAN JSC
015 B000065 S
015 C010065 ALMATY
015 D010065 KAZAKHSTAN
015 E040065 X
015 A000066 SOCIETE GENERALE
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015 C010066 PARIS
015 D010066 FRANCE
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015 C010067 CASABLANCA
015 D010067 MOROCCO
015 E040067 X
015 A000068 STANBIC BANK TANZANIA LIMITED
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015 C010068 DAR ES SALAAM
015 D010068 TANZANIA
015 E040068 X
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015 D010069 ZIMBABWE
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015 A000070 STANBIC IBTC BANK PLC
015 B000070 S
015 C010070 LAGOS
015 D010070 NIGERIA
015 E040070 X
015 A000071 STANDARD BANK LIMITED, MALAWI
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015 C010071 BLANTYRE
015 D010071 MALAWI
015 E040071 X
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015 A000072 STANDARD BANK NAMIBIA LIMITED
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015 C010072 WINDHOEK
015 D010072 NAMIBIA
015 E040072 X
015 A000073 STANDARD CHARTERED BANK
015 B000073 S
015 C010073 DHAKA
015 D010073 BANGLADESH
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015 A000074 STANDARD CHARTERED BANK (PAKISTAN) LIMITED
015 B000074 S

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015 C010074 KARACHI
015 D010074 PAKISTAN
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015 A000075 STANDARD CHARTERED BANK (THAI) PUBLIC CO. LTD
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015 A000076 STANDARD CHARTERED BANK BOTSWANA LIMITED
015 B000076 S
015 C010076 GABORONE
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015 B000077 S
015 C010077 ABIDJAN
015 D010077 IVORY COAST
015 E040077 X
015 A000078 STANDARD CHARTERED BANK GHANA LIMITED
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015 C010079 NAIROBI
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015 A000082 STANDARD CHARTERED BANK ZAMBIA PLC
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015 A000083 SWEDBANK AS
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015 C010083 TALLINN
015 D010083 ESTONIA
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015 A000084 SWEDBANK AS
015 B000084 S
015 C010084 RIGA
015 D010084 LATVIA
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015 A000085 THE BANK OF TOKYO-MITSUBISHI UFJ, LIMITED
015 B000085 S
015 C010085 TOKYO
015 D010085 JAPAN
015 E040085 X
015 A000086 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
015 B000086 S
015 C010086 EBENE

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015 D010086 MAURITIUS
015 E040086 X
015 A000087 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
015 B000087 S
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015 B000089 S
015 C010089 ZURICH
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015 E040089 X
015 A000090 UNICREDIT BANK AUSTRIA AG
015 B000090 S
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015 D010093 SERBIA
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020 B000003 13-3297824
020 C000003 68
020 A000004 MORGAN STANLEY
020 B000004 13-2655998
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020 A000005 DEUTSCHE BANK SECURITIES INC.
020 B000005 13-2730828
020 C000005 51

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020 A000006 J.P. MORGAN SECURITIES, INC.
020 B000006 13-3224016
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020 A000007 CREDIT SUISSE SECURITIES (USA) L.L.C.
020 B000007 13-5659485
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020 A000008 WELLS FARGO INVESTMENTS, LLC
020 B000008 91-1374280
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020 A000009 RBC CAPITAL MARKETS CORPORATION
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020 A000010 ROBERT W. BAIRD & CO. INC.
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022 A000008 BANC OF AMERICA SECURITIES LLC
022 B000008 56-2058405
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022 A000009 NOMURA SECURITIES INTERNATIONAL, INC.
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022 A000010 JEFFERIES & COMPANY, INC.
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022 D000010 4223
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025 A000001 Citigroup, Inc.
025 B000001 11-2418191

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025 C000001 E
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 025 A000002 JPMorgan Chase & Co.
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074	L000000	6503
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074	N000000	1480040
074	O000000	5569
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074	T000000	1435734
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074	U020000	0
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077	D000000	N
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077	G000000	N
077	H000000	N
077	I000000	N
077	J000000	N
077	K000000	N
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077	M000000	N
077	N000000	N
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077	Q020000	N
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080	B000000	n/a
080	C000000	5000
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085	B000000	N
086	A010000	95
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086	B020000	31277
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086 D010000 0
086 D020000 0
086 E010000 0
086 E020000 0
086 F010000 0
086 F020000 0
087 A010000 TRI-CONTINENTAL - COMMON STOCK
087 A020000 895436103
087 A030000 TY
087 B010000 TRI-CONTINENTAL - PREFERRED STOCK
087 B020000 895436202
PAGE 19
087 C010000 TRI-CONTINENTAL - WARRANT
087 C020000 895436111
088 A000000 N
088 B000000 N
088 C000000 N
088 D000000 N
SIGNATURE SEE BELOW
TITLE SEE BELOW

This report is signed on behalf of the registrant (or depositor or trustee).

City of: Boston State of: Massachusetts Date: February 19, 2014

Name of Registrant, Depositor, or Trustee: Tri-Continental Corporation

/s/ Michael G. Clarke

Michael G. Clarke
Treasurer

/s/ Joseph F. DiMaria

Joseph F. DiMaria
Chief Accounting Officer
Witness

TRI-CONTINENTAL CORPORATION