#### Edgar Filing: ALLIANCE RESOURCE PARTNERS LP - Form 4

#### ALLIANCE RESOURCE PARTNERS LP

Form 4

October 04, 2005

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

**OMB APPROVAL** 

Expires:

January 31, 2005

0.5

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if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **ROBINSON JOHN H** 

2. Issuer Name and Ticker or Trading Symbol

Issuer

below)

ALLIANCE RESOURCE PARTNERS LP [ARLP]

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

X\_ Director 10% Owner Officer (give title Other (specify

5. Relationship of Reporting Person(s) to

1717 S. BOULDER **AVENUE, SUITE 600** 

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

10/03/2005

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Applicable Line)

**TULSA, OK 74119** 

(City) (State) (Zip)

(Street)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of 2. Transaction Date 2A. Deemed Security (Instr. 3)

(Month/Day/Year) Execution Date, if (Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

6. Ownership 5. Amount of Securities Form: Direct Beneficially Owned (Instr. 4) Following

7. Nature of Indirect (D) or Indirect Beneficial Ownership

(Instr. 4)

Reported (A) Transaction(s) or (Instr. 3 and 4)

Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion 3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if

5. Number 6. Date Exercisable and Transactionof **Expiration Date** 

7. Title and Amount of 8. Pric **Underlying Securities** Deriva

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| Security (Instr. 3) | or Exercise<br>Price of<br>Derivative<br>Security |            | any<br>(Month/Day/Year) | Code<br>(Instr. 8) | Secur<br>Acqu<br>(A) of<br>Dispo | or<br>osed<br>or<br>osed<br>or<br>osed | (Month/Day/Year)    |                    | (Instr. 3 and 4) |                                     | Securit<br>(Instr. |
|---------------------|---|------------|-------------------------|--------------------|----------------------------------|--|---------------------|--------------------|------------------|-------------------------------------|--------------------|
|                     |   |            |                         | Code V             | (A)                              | (D)                                    | Date<br>Exercisable | Expiration<br>Date | Title            | Amount<br>or<br>Number<br>of Shares |                    |
| Phantom<br>unit     | <u>(2)</u>  |            |                         |                    |                                  |  | <u>(1)</u>          | (5)                | Common unit      | 13,898<br>(7)                       |                    |
| Phantom<br>unit     | <u>(2)</u>  | 10/03/2005 |                         | A                  | 124                              |  | <u>(1)</u>          | <u>(5)</u>         | Common unit      | 124                                 | <u>(5)</u>         |
| Restricted unit     | <u>(2)</u>  |            |                         |                    |                                  |  | (3)                 | <u>(5)</u>         | Common<br>unit   | 5,000<br>(7)                        |                    |
| Restricted unit     | <u>(2)</u>  |            |                         |                    |                                  |  | <u>(4)</u>          | <u>(5)</u>         | Common<br>unit   | 3,450<br>(7)                        |                    |
| Restricted unit     | <u>(2)</u>  |            |                         |                    |                                  |  | <u>(6)</u>          | (5)                | Common unit      | 1,850<br>(7)                        |                    |

# **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |         |       |  |  |  |
|---|---------------|-----------|---------|-------|--|--|--|
| • •   | Director      | 10% Owner | Officer | Other |  |  |  |
| ROBINSON JOHN H<br>1717 S. BOULDER AVENUE<br>SUITE 600<br>TULSA, OK 74119 | X             |           |         |       |  |  |  |

# **Signatures**

Reporting Person

John H.
Robinson

\*\*Signature of Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The Phantom units are to be settled in either cash or ARLP common units, at the election of the Compensation Committee, upon the reporting person's death or termination.
- (2) 1 for 1
- The Restricted units will vest on September 30, 2005 provided that the issuer meets certain financial tests. Once vested the restricted units are to be settled in either cash or ARLP common units, at the election of the Compensation Committee.

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Reporting Owners 2

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The Restricted units will vest on December 31, 2006 provided that the issuer meets certain financial tests. Once vested the restricted units are to be settled in either cash or ARLP common units, at the election of Compensation Committee.

- (5) Not applicable
- The Restricted units will vest on January 1, 2008 provided that the issuer meets certain financial tests. Once vested the restricted units are to be settled in either cash or ARLP common units, at the election of the Compensation Committee.
- This common unit amount has been adjusted to reflect the completion by the issuer of a two-for-one split of its common units representing limited partner interests effective September 15, 2005.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.