YOUNG RANDALL D

Form 4 April 18, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b).

(Print or Type Responses)

(Last)

(City)

1. Name and Address of Reporting Person * YOUNG RANDALL D

(Zip)

(First) (Middle)

333 WEST 11TH STREET

(Street)

(State)

KANSAS CITY, MO 64105

2. Issuer Name and Ticker or Trading Symbol

DST SYSTEMS INC [DST]

3. Date of Earliest Transaction (Month/Day/Year)

04/16/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

OMB APPROVAL

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Director 10% Owner Other (specify Officer (give title below)

VP, Gen Counsel Secretary

6. Individual or Joint/Group Filing(Check

Applicable Line) _X_ Form filed by One Reporting Person

Form filed by More than One Reporting Person

Table I. Non-Derivative Securities Acquired Disposed of or Reneficially Ov

| (Chij) | (State) | Table | e I - Non-D | erivative S | ecuri | ties Acc | quired, Disposed | of, or Beneficia | ally Owned | |
|--------------------------------------|---|---|-------------|---------------|------------------|----------|--|--|---|--|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | . Transaction Date 2A. Deemed Month/Day/Year) Execution Date, if any (Month/Day/Year) | | | posed and 5 | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | Code V | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | () | | |
| Common Stock | 04/16/2018 | | A | 10,356 (1) | A | \$0 | 28,093 | D | | |
| Common Stock | 04/16/2018 | | A | 16,250 (1) | A | \$0 | 44,343 | D | | |
| Common Stock | 04/16/2018 | | D | 41,194 (2) | D | \$ 84 | 0 | I | Shares held in Revocable Trust | |
| Common Stock | 04/16/2018 | | D | 44,343 (3) | D | \$ 84 | 0 | D | | |

Edgar Filing: YOUNG RANDALL D - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| | 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. | Date Exerc | cisable and | 7. Title a | and | 8. Price of | |
|--|-------------|-------------|---------------------|--------------------|------------|------------|----------------------------------|------------------|------------|------------|-------------|--|
| | Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transacti | orNumber | Expiration Da | ate | Amount | of | Derivative | |
| | Security | or Exercise | | any | Code | of | (Month/Day/ | Year) | Underlyi | ing | Security | |
| | (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Derivative | e | | Securities | (Instr. 5) | | |
| | | Derivative | | | | Securities | | (Instr. 3 and 4) | | | | |
| | | Security | | | | Acquired | | | | | | |
| | | | | | | (A) or | | | | | | |
| | | | | | | Disposed | | | | | | |
| | | | | | | of (D) | | | | | | |
| | | | | | | (Instr. 3, | | | | | | |
| | | | | | | 4, and 5) | | | | | | |
| | | | | | | | | | Δ | mount | | |
| | | | | | | | | | or | | | |
| | | | | | | | Date Expiration Exercisable Date | Expiration | Title N | | | |
| | | | | | | | | of | | | | |
| | | | | | Code V | (A) (D) | | | | hares | | |
| | | | | | | (1-) | | | - 01 | | | |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

YOUNG RANDALL D 333 WEST 11TH STREET KANSAS CITY, MO 64105

VP, Gen Counsel Secretary

Signatures

Randall D. Young 04/18/2018

**Signature of Date

Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Consists of accelerated vesting of PSUs pursuant to the terms of that certain Separation Agreement dated as of February 26, 2018 among Mr. Young, the Company and SS&C (the Separation Agreement).
 - Consists of shares of common stock and vested RSUs that were cancelled in exchange for \$84.00 per share pursuant to the terms of an Agreement and Plan of Merger (the Merger Agreement) dated as of January 11, 2018 among DST Systems, Inc. (the Company), SS&C
- (2) Technologies Holdings, Inc. (SS&C) and Diamond Merger Sub, Inc. (Merger Sub), pursuant to which Merger Sub will merge (the Merger) with and into the Company, whereupon the existence of Merger Sub will cease and the Company will become the surviving corporation and an indirect wholly owned subsidiary of SS&C. The Merger became effective on April 16, 2018 (the Effective Time).

(3)

Reporting Owners 2

Edgar Filing: YOUNG RANDALL D - Form 4

Consists of shares of common stock, vested RSUs and vested PSUs that were cancelled in exchange for \$84.00 per share pursuant to the terms of the Merger Agreement and Separation Agreement. The amounts contained in the footnote are not related to any amounts owed by the Company to Mr. Young.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.