Boothby Lee K Form 4 August 17, 2017

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

**OMB APPROVAL** 

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Boothby Lee K

2. Issuer Name and Ticker or Trading

Symbol

**NEWFIELD EXPLORATION CO** 

/DE/ [NFX]

3. Date of Earliest Transaction (Month/Day/Year)

08/15/2017

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

4 WATERWAY SQUARE PLACE,

(First)

(Middle)

Officer (give title below)

10% Owner Other (specify

President and CEO

SUITE 100

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Director

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

THE WOODLANDS, TX 77380

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 3, 4 and 5) Year) (Instr. 8)			(D)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)				
common stock							4,485	I	By 401(k)		
common stock (1)	08/15/2017		M	12,232 (2) (3)	A	\$0	338,674	D			
common stock	08/15/2017		M	16,955 (2) (3)	A	\$ 0	355,629	D			
common stock	08/15/2017		M	12,670 (2) (3)	A	\$ 0	368,299	D			
common stock	08/15/2017		D	41,857 (3)	D	\$ 25.415	326,442	D			

### Edgar Filing: Boothby Lee K - Form 4

35,120 D \$ 291,322 common 08/15/2017 F D stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of ctionDerivative Securities 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom Stock Unit	\$ 0 (2)	08/15/2017		M		12,232	08/15/2015	02/15/2018	common stock	12,232
Phantom Stock Unit	\$ 0 (2)	08/15/2017		M		16,955	08/15/2016	02/15/2019	common stock	16,955
Phantom Stock Unit	\$ 0 (2)	08/15/2017		M		12,670	08/15/2017	02/15/2020	common stock	12,670

# **Reporting Owners**

Relationships Reporting Owner Name / Address 10% Owner Officer Other Director

Boothby Lee K

4 WATERWAY SQUARE PLACE, SUITE 100

President and CEO

THE WOODLANDS, TX 77380

**Signatures** 

/s/ Timothy D. Yang as attorney-in-fact for Lee K.

Boothby 08/17/2017 \*\*Signature of Reporting Person Date

Reporting Owners 2

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The amount of securities beneficially owned following reporting transactions (column 5) includes 395 shares of common stock acquired by the reporting person on June 30, 2017 pursuant to the Newfield Exploration Company Employee Stock Purchase Plan.
- (2) Each cash-settled restricted stock unit subject to this award is the economic equivalent of one share of the issuer's common stock and may be settled solely in cash.
- (3) These transactions reflect the conversion of cash-settled restricted stock units into cash (i.e., the deemed acquisition and simultaneous disposition of the underlying shares of stock).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.