### Edgar Filing: NEWFIELD EXPLORATION CO /DE/ - Form 4

#### NEWFIELD EXPLORATION CO /DE/

Form 4

February 09, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB 3235-0287

**OMB APPROVAL** 

Number:

Expires:

January 31, 2005

0.5

Estimated average

burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(City)

(Print or Type Responses)

Dunn George T Issuer Symbol NEWFIELD EXPLORATION CO (Check all applicable) /DE/[NFX] 10% Owner (Last) (First) (Middle) 3. Date of Earliest Transaction Director Officer (give title Other (specify (Month/Day/Year) below) 4 WATERWAY SQUARE PLACE, 02/07/2017 Sr VP - Development SUITE 100

2. Issuer Name and Ticker or Trading

(Street)

(Zip)

4. If Amendment, Date Original

Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

THE WOODLANDS, TX 77380

(State)

1. Name and Address of Reporting Person \*

		1401	Tube 1 Tool Berryalive Securities Required, Disposed of, or Beneficiary Owned									
1.Title of	2. Transaction Date	2A. Deemed	3.	4	4. Securities Acquired			5. Amount of	6. Ownership	7. Nature of		
Security	(Month/Day/Year)	Execution Date, if	Transaction(A) or Disposed of				of	Securities	Form: Direct	Indirect		
(Instr. 3)		any	Code	(	(D)			Beneficially	(D) or	Beneficial		
		(Month/Day/Year)	(Instr. 8)	(	(Instr. 3, 4 and 5)			Owned	Indirect (I)	Ownership		
								Following	(Instr. 4)	(Instr. 4)		
					(4)			Reported				
						(A)		Transaction(s)				
			C 1 1	7		or	ъ.	(Instr. 3 and 4)				
			Code V		Amount	(D)	Price					
common stock	02/07/2017		A		14,310 (1)	A	\$0	145,506	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. P Der Sec (Ins
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock Unit	(2)	02/07/2017		A	14,310	(2)	(2)	common stock	14,310	
Restricted Stock Unit	<u>(3)</u>	02/07/2017		A	19,080	(3)	(3)	common	19,080	

# **Reporting Owners**

Relationships Reporting Owner Name / Address Officer Other Director 10% Owner

Dunn George T 4 WATERWAY SQUARE PLACE, SUITE 100 THE WOODLANDS, TX 77380

Sr VP - Development

## **Signatures**

/s/ Timothy D. Yang as attorney-in-fact for George T. 02/09/2017 Dunn

> \*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares subject to this award will vest in four equal installments on August 15, 2017, 2018 and 2019 and February 15, 2020.
- Cash-settled Phantom Stock Units vest in four equal installments on August 15, 2017, 2018 and 2019 and February 15, 2020. Each share of Phantom Stock is the economic equivalent of one share of Company common stock.
- The shares subject to this award have the possibility of vesting on January 15, 2020, but will only vest if certain total shareholder return criteria are met.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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