

SWIFT ENERGY CO  
Form 4  
February 19, 2015

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Banks Robert J.

2. Issuer Name and Ticker or Trading Symbol  
SWIFT ENERGY CO [SFY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
16825 NORTHCHASE DRIVE,  
SUITE 400  
  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
02/17/2015

\_\_\_\_ Director  
\_\_\_\_ Officer (give title below)  
\_\_\_\_ 10% Owner  
\_\_\_\_ Other (specify below)  
Executive VP and COO

HOUSTON, TX 77060

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
SFY Common Stock-401(k)				(A) Amount	4,673 <sup>(1)</sup>	I	Through 401(k)
SFY Cmmn Stock-ESOP Holding				(A) Amount	564 <sup>(2)</sup>	I	Through ESOP
Robert J. Banks 2012 GRAT				(A) Amount	33,431 <sup>(3)</sup>	I	GRAT
Swift Energy Company Common	02/17/2015		A	24,900 A	\$ 0 107,774	D	



## Edgar Filing: SWIFT ENERGY CO - Form 4

On August 1, 2012, Mr. Banks funded the Robert J. Banks Grantor Retained Annuity Trust with 33,341 shares of the stock of the issuer previously reported as directly owned. Mr. Banks is the trustee of the referenced trust.

Each restricted stock unit represents a contingent right to receive up to 2 shares of SFY common stock. The restricted stock units vest 3 (4) years from the date of grant and are paid out ranging from 0% to 200% of the awarded share amount, depending upon the average fair market value of SFY stock for the 20 business days preceding the vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.