#### BERRY PETROLEUM CO

Form 4 July 01, 2013

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

OMB 3235-0287 Number:

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if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading YOUNG MARTIN H JR Issuer Symbol BERRY PETROLEUM CO [BRY] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner Officer (give title Other (specify C/O BERRY PETROLEUM 06/30/2013 below) COMPANY, 1999 BROADWAY, **SUITE 3700** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting **DENVER, CO 80202** (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of 6. Ownership 7. Nature of 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial (Instr. 8) (Instr. 3, 4 and 5) Owned Indirect (I) Ownership (Month/Day/Year) Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Class A Common 40,000 D Stock Held in Class A Charitable Common 7,500 I Remainder Stock Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | Securities |                  |     | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amou<br>Underlying Securi<br>(Instr. 3 and 4) |                           |
|---|---|---|---|---------------------------------------|------------|------------------|-----|--|--------------------|--|---------------------------|
|   |   |   |   | Code V                                | ' (A       | <b>A</b> )       | (D) | Date Exercisable   | Expiration<br>Date | Title  | Amor<br>or<br>Nur<br>of S |
| Nonstatutory<br>Stock Option<br>12-15-05            | \$ 30.645   |   |   |                                       |            |                  |     | 12/15/2005   | 12/15/2015         | Class A<br>Common<br>Stock                                 | 10.                       |
| Nonstatutory<br>Stock Option<br>12-15-06            | \$ 32.565   |   |   |                                       |            |                  |     | 12/15/2006   | 12/14/2016         | Class A<br>Common<br>Stock                                 | 10.                       |
| 2007<br>Restricted<br>Stock Unit (1)                | \$ 0 (2)  |   |   |                                       |            |                  |     | 01/01/2008(3)  | 12/13/2017         | Class A<br>Common<br>Stock                                 | 1,3                       |
| NSO 2007  | \$ 43.61  |   |   |                                       |            |                  |     | 12/14/2007   | 12/13/2017         | Class A<br>Common<br>Stock                                 | 3,9                       |
| March 2011<br>Director RSU                          | \$ 0 (2)  |   |   |                                       |            |                  |     | 03/02/2011(3)  | 03/02/2021         | Class A<br>Common<br>Stock                                 | 2,4                       |
| March 2<br>2012<br>Director RSU<br>Grant            | \$ 0  |   |   |                                       |            |                  |     | 03/02/2012   | 03/02/2022         | Class A<br>Common<br>Stock                                 | 2,1                       |
| March 4,<br>2013<br>Director RSU<br>Grant           | \$ 0  |   |   |                                       |            |                  |     | 03/04/2013   | 03/04/2023         | Class A<br>Common<br>Stock                                 | 2,0                       |
| Phantom<br>Stock Units                              | \$ 0  | 06/30/2013                              |   | A                                     | 1,1        | .37<br><u>4)</u> |     | 08/08/1988   | 08/08/1988         | Class A<br>Common<br>Stock                                 | 94,                       |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

YOUNG MARTIN H JR C/O BERRY PETROLEUM COMPANY 1999 BROADWAY, SUITE 3700 DENVER, CO 80202

### **Signatures**

Kenneth A Olson under POA for Martin Young

07/01/2013

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- **(1)** 1 for 1
- (2) Each RSU represents a contingent right to receive one share of Berry Petroleum Company Class A Common Stock
- (3) The RSU is subject to a deferral election. Shares of Class A Common Stock will be delivered to the reporting person as per the terms of the deferral election.
- (4) Phantom Stock Units acquired under the Company's Non-Employee Director Deferred Compensation Plan in a transaction exempt under Rule 16b-3(c). Shares of Common Stock are issued under terms of the Plan upon resignation from the board of directors.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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