RBC Capital Markets, LLC Form 4 May 03, 2019

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

OMB Number:

3235-0287

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if no longer

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction

1(b).

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

RBC Capital Markets, LLC

2. Issuer Name and Ticker or Trading

Symbol

Invesco Trust for Investment Grade New York Municipals [VTN]

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

(Last)

Preferred

(First)

(Street)

(Middle)

3. Date of Earliest Transaction (Month/Day/Year)

Officer (give title below)

X\_\_ 10% Owner \_ Other (specify

**BROOKFIELD PLACE, 200 VESEY STREET** 

4. If Amendment, Date Original

05/01/2019

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Director

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

Person

NEW YORK, NY 10281

		10.000							
(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	etion Date 2A. Deemed (ay/Year) Execution Date, if any (Month/Day/Year)		4. Securi or Dispo (Instr. 3,	sed of		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Variable			Code V	Amount		Price	(Instr. 3 and 4)		
Rate Muni Term Preferred Shares	05/01/2019		J <u>(1)(2)</u>	904	D (2)	\$ 100,000	904 (2) (3)	D (2) (3)	
Variable Rate Muni Term	05/01/2019		J(1)(2)	904	A (2)	\$ 100,000	904 (2) (3)	I	By Subsidiary

#### Shares

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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9. Nu Deriv Secu Bene Own Follo Repo Trans (Insti

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date		4.	5.	6. Date Exerc		7. Titl		8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration D	ate	Amou	nt of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ties	(Instr. 5)
	Derivative		` '	,	Securities			(Instr.	3 and 4)	· ·
	Security				Acquired			`		
	·				(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									Amount	
						Date Expiration Exercisable Date	г		or	
							Title	Title Number		
							Date		of	
				Code V	(A) (D)				Shares	

# **Reporting Owners**

\*\*Signature of

Reporting Person

		Relationships						
Reporting Owner Name / Add	ress Director	10% Owner	Officer	Other				
RBC Capital Markets, LLC BROOKFIELD PLACE 200 VESEY STREET NEW YORK, NY 10281		X						
ROYAL BANK OF CANAL ROYAL BANK PLAZA 200 BAY STREET TORONTO, A6 M5J2J5	DA	X						
RBC Municipal Products, L BROOKFIELD PLACE 200 VESEY STREET NEW YORK, NY 10281	LC	X						
Signatures								
/s/ John Penn *1	05/03/2019							

Date

2 Reporting Owners

### Edgar Filing: RBC Capital Markets, LLC - Form 4

/s/ John Penn \*2 05/03/2019

\*\*Signature of Date

Reporting Person

/s/ Joseph 05/03/2019

Huesman

\*\*Signature of Reporting Person

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

Date

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This statement is jointly filed by Royal Bank of Canada ("RBC"), RBC Municipal Products, LLC ("MPI") and RBC Capital Markets,

  (1) LLC ("RBCCM"). RBC holds an indirect interest in the securities listed in Table I (the "Securities") by virtue of its indirect 100% ownership of its subsidiaries RBCCM and MPI.
- The 904 Variable Rate Muni Term Preferred Shares shown in Table I represent Variable Rate Muni Term Preferred Shares of the Issuer (the "VMTP Shares") that, prior to the date of this Form, were beneficially owned by MPI and deposited by MPI with The Bank of New York Mellon, as trustee of RBC Taxable TOB Trust, Series E-51, a Delaware trust that is a tender option bond financing trust (the "TOB Trust"). As of the date of this form, the VMTP Shares are no longer held with the TOB Trust and beneficial ownership of the 904 VMTP Shares was transferred from MPI to RBCCM for a purchase price of \$100,000 per share. All the VMTP Shares of the Issuer are now beneficially owned by RBCCM. Each of RBBCM and MPI is a wholly owned subsidiary of RBC.
- Each reporting person declares that neither the filing of this statement nor anything herein shall be construed as an admission that such person is, for the purposes of Section 13(d) of the US Securities Exchange Act of 1934 or any other purpose, (i) acting (or has agreed or is agreeing to act together with any other person) as a partnership, limited partnership, syndicate or other group for the purpose of acquiring, holding or disposing of securities of the Issuer or otherwise with respect to the Issuer or any securities of the Issuer or (ii) a member of any group with respect to the Issuer or any securities of the Issuer.

### **Remarks:**

Exhibits Index: Exhibit 99.1 - Joint Filing Agreement, Exhibit 99.2 - Joint Filer Information, Exhibit 99.3 - VMTP Share Hold

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3