LKQ CORP Form 4 January 12, 2016

## FORM 4

#### **OMB APPROVAL OMB**

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### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

3235-0287 Number:

Check this box if no longer subject to Section 16.

January 31, Expires: 2005

Form 4 or Form 5 obligations STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Stock

(Print or Type Responses)

1 Name and Address of Departing Day

|   | 1. Name and Ad ALBERICO              | Symbol                                  | 2. Issuer Name and Ticker or Trading Symbol LKQ CORP [LKQ]  |   |   | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable) |  |  |   |  |  |
|---|--------------------------------------|---|---|---|---|--|--|--|---|--|--|
| (Last) (First) (Middle)  C/O LKQ CORPORATION, 500 WEST MADISON STREET, SUITE 2800 (Street)  CHICAGO, IL 60661 |                                      |   | (Month/Da<br>500 01/08/20                                   | <ul> <li>3. Date of Earliest Transaction (Month/Day/Year) 01/08/2016</li> <li>4. If Amendment, Date Original Filed(Month/Day/Year)</li> </ul> |   |  |  | (Check all applicable)  Director 10% Owner Officer (give title Other (specify below)  Senior VP of Human Resources  6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |   |  |  |
|   |                                      |   |   |   |   |  |  |  |   |  |  |
|   | (City)                               | (State) (Z                              | Zip) Table  | e I - Non-Do  | erivative S                                       | Securities A   | cquired, Disposed  | of, or Beneficia   | lly Owned   |  |  |
|   | 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8)   | 4. Securi<br>onAcquired<br>Disposed<br>(Instr. 3, | l (A) or<br>l of (D)   | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4)   | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
|   | Common                               | 01/08/2016                              |   | A(1)  | 6,239   | A \$0  | 21,181   | D  |   |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: LKQ CORP - Form 4

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. ionNumber of Derivativ Securities Acquired (A) or Disposed of (D) | 5                   | ate                | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) | unt of<br>rlying<br>rities             | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|--|--|---------------------|--------------------|---|--|---|---|
|   |   |   |   | Code V                                 | (Instr. 3, 4, and 5)   | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |   |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

ALBERICO ROBERT A C/O LKQ CORPORATION 500 WEST MADISON STREET, SUITE 2800 CHICAGO, IL 60661

Senior VP of Human Resources

# **Signatures**

/s/ Victor M. Casini, Attorney-in-fact

01/12/2016

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The transaction represents an award of restricted stock units that are subject to two vesting conditions, each of which must be satisfied: (a) time-based vesting equal to 16.67% of the number of restricted stock units subject to the award on July 14, 2016 and on each six-month anniversary of July 14, 2016; and (b) a performance-based condition of positive fully-diluted earnings per share of the Company (subject

(1) to adjustment for certain extraordinary items) for any of the first five fiscal years ending after the grant date. If and when the performance-based condition is met, all restricted stock units that had previously met the time-based vesting condition will vest immediately and the remaining restricted stock units will vest according to the remaining schedule of the time-based condition. If the performance-based condition is not met, all restricted stock units will be forfeited.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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