### Edgar Filing: INDEPENDENCE HOLDING CO - Form 5

#### INDEPENDENCE HOLDING CO

securities beneficially owned directly or indirectly.

Form 5

February 10, 2017

Form 4 Transactions Reported

#### **OMB APPROVAL** FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Estimated average Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940

THUNG ROY T K Symbol			nbol DEPENDENCE HOLDING CO			5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)				
(Last) 96 CUMMI	(First) (N	(Month) 12/31/	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2016			_	_X Director _X Officer (give elow)	e title Other (specify below) O & President		
	(Street)	4. If An	nendment, Date onth/Day/Year)	Original		$\epsilon$	. Individual or Jo	oint/Group Rep	_	
STAMFOR	D, CT 06902					_	X_ Form Filed by Form Filed by lerson			
(City)	(State)	(Zip) Ta	ble I - Non-Der	ivative Secu	urities	Acqui	red, Disposed o	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	e 2A. Deemed Execution Date, if any (Month/Day/Year	Code	4. Securities Acquire (A) or Disposed of (D) (Instr. 3, 4 and 5)		of	5. Amount of Securities Form: Direct (D) or Owned at end of Issuer's Fiscal Year (Instr. 3 and		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
G				Amount	or (D)	Price	4)			
Common Stock	11/22/2016	Â	G	10,080	D	\$ 0	277,170	D	Â	
Reminder: Rep	Persons w	Persons who respond to the collection of information SEC 22.					SEC 2270			

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

contained in this form are not required to respond unless

the form displays a currently valid OMB control number.

(9-02)

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1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	le and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	Number	Expiration D	ate	Amou	int of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	rlying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)
	Derivative				Securities			(Instr.	3 and 4)	
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									Amount	
									Amount	
						Date	Expiration	m: 1	or	
						Exercisable	Date	Title	Number	
					(A) (D)				of	
					(A) (D)				Shares	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
reporting 6 wher runne, runness	Director	10% Owner	Officer	Other			
THUNG ROY T K 96 CUMMINGS POINT ROAD STAMFORD, CT 06902	ÂX	Â	CEO & President	Â			

## **Signatures**

Roy T. K. Thung 02/10/2017

\*\*Signature of Date
Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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