Edgar Filing: Joseph Jason - Form 4

Joseph Jason Form 4											
April 03, 201	9										
									OMB AF	PROVAL	
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB Number:	3235-0287		
Check this box									Expires:	January 31,	
if no longer subject to Section 16. Form 4 or				GES IN BENEFICIAL OWNERSHIP SECURITIES				NERSHIP OF	Expires. 200 Estimated average burden hours per response 0		
Form 5 obligation may conti <i>See</i> Instru 1(b).	nue. Section 17	(a) of the l	Public Ut		ling Con	npany	y Act of	e Act of 1934, 7 1935 or Section 0			
(Print or Type R	esponses)										
Joseph Jason Symbol				er Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer			
				S AUTOMATION INC				(Check all applicable)			
(Last)(First)(Middle)3. Date of (Month/DC/O BROOKS AUTOMATION, INC., 15 ELIZABETH DRIVE04/01/20				-				Director 10% Owner X Officer (give title Other (specify below) below) SVP, General Counsel, Secy.			
Filed(Mon				ndment, Date Original			6. Individual or Joint/Group Filing(Check				
				onth/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
CHELMSFC	ORD, MA 01824	ŀ						Person		porting	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)	Execution any		3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A)			Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
C				Code V	Amount	or (D)	Price \$	(Instr. 3 and 4)			
Common Stock	04/01/2019			S <u>(1)</u>	6,000	D	29.81 (2)	85,661	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	le and unt of rlying rities : 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relationships							
		Director	10% Owner	Officer	Other				
Joseph Jason C/O BROOKS AUTOMA' 15 ELIZABETH DRIVE CHELMSFORD, MA 0182	,			SVP, General Counsel, Secy.					
Signatures									
/s/ Jason W. Joseph	04/03/2019								
<u>**</u> Signature of	Date								

Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported on this line item were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on December 7, 2018.

Represents the weighted average price for the shares sold. The shares were sold at per-share prices ranging from \$29.42 to \$30.03. The (2) reporting person will provide to the SEC, the issuer and any stockholder, upon request, full information regarding the number of shares

sold at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.