

AMERICAN INTERNATIONAL GROUP INC

Form 4

March 01, 2019

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
AMERICAN INTERNATIONAL  
GROUP INC2. Issuer Name and Ticker or Trading  
Symbol  
DNP SELECT INCOME FUND INC  
[DNP]5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
175 WATER STREET  
(Street)3. Date of Earliest Transaction  
(Month/Day/Year)  
03/01/2019\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title below) \_\_\_\_X\_\_\_\_ Other (specify below)  
See Remarks

NEW YORK, NY 10038

4. If Amendment, Date Original  
Filed(Month/Day/Year)6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_ Form filed by One Reporting Person  
\_\_X\_\_ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Floating Rate Mandatory Redeemable Preferred Shares Series A	03/01/2019		J <sup>(1)</sup>	1,000 D \$ 100,000	0	I	Held through subsidiaries <u>(2)</u>
4.63% Mandatory Redeemable Preferred Shares					660	I	Held through subsidiaries <u>(3)</u>

Series E

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Report Transaction (Instr. 10)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

### Relationships

#### Reporting Owner Name / Address

Director 10% Owner Officer Other

AMERICAN INTERNATIONAL GROUP INC  
175 WATER STREET  
NEW YORK, NY 10038

See  
Remarks

AMERICAN GENERAL LIFE INSURANCE CO  
2727-A ALLEN PARKWAY  
HOUSTON, TX 77019

See  
Remarks

## Signatures

/s/ Geoffrey N. Cornell, Authorized Signatory of American International Group, Inc.

03/01/2019

           \*\*Signature of Reporting Person

Date

/s/ Julie A. Cotton-Hearne, Authorized Signatory of American General Life  
Insurance Co.

03/01/2019

           \*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Mandatory redemption by the Issuer of Floating Rate Mandatory Redeemable Preferred Shares, Series A, of the Issuer (the "Series A Preferred Shares").  
  
American General Life Insurance Company ("AGL") and American Home Assurance Company, each an indirect wholly owned subsidiary of American International Group, Inc. ("AIG"), held 675 shares and 325 shares, respectively, of the Series A Preferred Shares. As set forth in a notice of redemption dated January 30, 2019, the Issuer redeemed all outstanding Series A Preferred Shares on March 1, 2019.
- (2) AGL, The United States Life Insurance Company in the City of New York and The Variable Annuity Life Insurance Company, each an indirect wholly owned subsidiary of AIG, directly hold 640 shares, 10 shares and 10 shares, respectively, of the 4.63% Mandatory Redeemable Preferred Shares, Series E, of the Issuer (the "Series E Preferred Shares" and together with the Series A Preferred Shares, the "Preferred Shares").

### Remarks:

Filed pursuant to Section 30(h) of the Investment Company Act of 1940. The Reporting Persons are informed that the reference

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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