Bisignano Frank Form 4 February 28, 2019

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 3235-0287

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Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

1(b).

(Last)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1. Name and Address of Reporting Person \* Bisignano Frank

(First)

(Middle)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

FIRST DATA CORP [FDC]

3. Date of Earliest Transaction

(Month/Day/Year) 02/26/2019

(Check all applicable)

\_X\_ Director 10% Owner X\_ Officer (give title Other (specify below)

Chief Executive Officer

C/O FIRST DATA CORPORATION, 225 LIBERTY STREET, 29TH FLOOR

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

NEW YORK, NY 10281

(City)	(State)	Zip) Tabl	e I - Non-E	Derivative Se	curiti	es Acqı	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securitie on(A) or Disp (Instr. 3, 4	osed c	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	02/26/2019		A <u>(1)</u>	421,626	A	\$0	7,306,930	D	
Class A Common Stock							105,076	I	See footnote (2)
Class A Common Stock							274,456	I	See footnote (3)
Class A							1,900	I	By Trust

#### Edgar Filing: Bisignano Frank - Form 4

Common Stock

Class A Common 25,800 Ι By Spouse Stock

Class A

Common footnote 15,190 I Stock (4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

See

Shares

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transactio	5. orNumber	6. Date Exerc Expiration D		7. Title and Amount of	8. Price of Derivative	9. Nu Deriv
Security (Instr. 3)	or Exercise Price of Derivative Security	(2.15.11.1.2 dy, 1 cm)	any (Month/Day/Year)	Code (Instr. 8)	of (Month/Day/Ye Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,			Underlying Securities (Instr. 3 and 4)	Security (Instr. 5)	Secur Bene Owne Follo Repo Trans (Instr
					4, and 5)	Date Exercisable	Expiration Date	Amour or Title Number of		

Code V (A) (D)

# **Reporting Owners**

Relationships Reporting Owner Name / Address Officer Other Director 10% Owner

Bisignano Frank

C/O FIRST DATA CORPORATION **Chief Executive Officer** X 225 LIBERTY STREET, 29TH FLOOR NEW YORK, NY 10281

**Signatures** 

/s/ Gretchen A. Herron, by power of 02/28/2019 attorney

> \*\*Signature of Reporting Person Date

Reporting Owners 2 Edgar Filing: Bisignano Frank - Form 4

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents a grant of restricted shares of Class A common stock which, subject to the reporting person's continued employment through each applicable vesting date, will vest 20% on February 15, 2020, 40% on February 15, 2021, and 40% on February 15, 2022.
- (2) Held by the Frank J. Bisignano 2016 Grantor Retained Annuity Trust.
- (3) Held by the Frank J. Bisignano 2017 Grantor Retained Annuity Trust.
- (4) These shares are held in accounts for the benefit of the reporting person's children.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.