Edgar Filing: ALLEN A CLINTON - Form 4

ALLEN A C	LINTON									
Form 4										
December 21	1, 2018									
FORM		STATES	SECU	DITIES A	ND EV	CHANCE		NT	APPROVAL	
	UNITED	SIAIES		shington,				Number:	3235-0287	
Check the if no long	ter.								January 31, 2005	
subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. SECURITIES Form 4 or							Estimate burden h response	d average ours per		
Form 5 obligation may cont <i>See</i> Instru 1(b).	ns Section 17(a) of the I	Public U	Jtility Hole	ding Cor		nge Act of 1934, of 1935 or Secti 940			
(Print or Type I	Responses)									
1. Name and Address of Reporting Person * 2 ALLEN A CLINTON Sy				er Name and		Ū.	5. Relationship of Reporting Person(s) to Issuer			
	BROOKS AUTOMATION INC [BRKS]				(Cho	heck all applicable)				
(Last) (First) (Middle) 3. Date of Earliest Transac			ransaction	on Director 10% Owner Officer (give title Other (specify						
	KS AUTOMATI JZABETH DRIV		(Month/ 12/20/2	Day/Year) 2018			below)	below)	and (speeny	
				4. If Amendment, Date Original Filed(Month/Day/Year)			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
CHELMSF	ORD, MA 01824						Person	whole than one	Reporting	
(City)	(State)	(Zip)	Tat	ole I - Non-I	Derivative	Securities A	cquired, Disposed	of, or Benefic	ially Owned	
	2. Transaction Date (Month/Day/Year)		Date, if	3. Transaction Code (Instr. 8) Code V	Disposed (Instr. 3, 4	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirec (I) (Instr. 4)	Indirect	
Reminder: Rep	ort on a separate line	e for each cla	ass of sec	urities benef	icially own	ned directly of	or indirectly.			
					inforn requir	nation cont ed to respo lys a curre	spond to the colle ained in this forn ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)	
	Tab	le II Dort-	entine Ca	muiting A	wined Die	nored of an	Donoficially Owned	J		

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4. 5.	6. Date Exercisable and	7. Title and Amount of	8. Price
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumb	er Expiration Date	Underlying Securities	Derivativ

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		/Year)	(Instr. 3 and 4)		Security (Instr. 5)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Unit	\$ 0 <u>(1)</u>	12/20/2018		A <u>(2)</u>	30	<u>(1)</u>	<u>(1)</u>	Common Stock	30	\$ 0 <u>(2</u>

Reporting Owners

Reporting Owner Name / Address	Relationships						
hepoting o when theme the transfer	Director	10% Owner	Officer	Other			
ALLEN A CLINTON C/O BROOKS AUTOMATION, INC. 15 ELIZABETH DRIVE CHELMSFORD, MA 01824	Х						
Signatures							
/s/ Jason W. Joseph, attorney-in-fact for Allen	r A. Clinto	on	12/21/	2018			
<u>**</u> Signature of Reporting Person			Dat	e			

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Each restricted stock unit represents the right to receive one share of Brooks common stock. The units are fully vested upon grant but the(1) Reporting Person elected to have the settlement deferred until the later of his attainment of age 65 or his separation from service from the company.

Grant of Restricted Stock Units (RSUs) based on the conversion of accumulated cash dividend equivalents on previously granted RSUs (2) determined using the closing price of the Company's common stock on the dividend record date of December 7, 2018. Grant of Restricted

Stock Units under the Company's 2015 Equity Incentive Plan. No amount was paid upon grant of the units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.