Dean Alison Form 4 July 27, 2018

# FORM 4

Form 5

1(b).

(Last)

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1. Name and Address of Reporting Person \* Dean Alison

(Middle)

Symbol

IROBOT CORP [IRBT]

3. Date of Earliest Transaction (Month/Day/Year)

07/25/2018

C/O IROBOT CORPORATION, 8 **CROSBY DRIVE** 

(First)

(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Issuer

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

Director 10% Owner X\_ Officer (give title \_ Other (specify below)

EVP, CFO & Treasurer

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

BEDFORD, MA 01730

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired tre, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)			of (D)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Common Stock	07/25/2018		M	637	A	\$ 43.35	87,523	D		
Common Stock	07/25/2018		M	812	A	\$ 35.43	88,335	D		
Common Stock	07/25/2018		M	1,068	A	\$ 34.3	89,403	D		
Common Stock	07/25/2018		M	1,075	A	\$ 32.38	90,478	D		
Common Stock	07/25/2018		M	1,736	A	\$ 33.14	92,214	D		

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Common Stock	07/25/2018	M	1,494	A	\$ 37.62	93,708	D
Common Stock	07/25/2018	S(1)	16,778	D	\$ 85	76,930	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amoun or Number of Shares
Employee Stock Option (Right to buy)	\$ 43.35	07/25/2018		M	637	(2)	03/07/2021	Common Stock	637
Employee Stock Option (Right to buy)	\$ 35.43	07/25/2018		M	812	(2)	06/06/2021	Common Stock	812
Employee Stock Option (Right to buy)	\$ 34.3	07/25/2018		M	1,068	03/06/2015(3)	03/06/2022	Common Stock	1,068
Employee Stock Option (Right to buy)	\$ 32.38	07/25/2018		M	1,075	06/05/2015(3)	06/05/2022	Common Stock	1,075
	\$ 33.14	07/25/2018		M	1,736	03/11/2016(3)	03/11/2023		1,736

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Employee Common Stock Stock

Option (Right to buy)

Employee

Stock

Option \$ 37.62 07/25/2018 M 1,494 06/10/2016(3) 06/10/2023 Common Stock 1,494 06/10/2016(3) 06/10/2023

(Right to buy)

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Dean Alison

C/O IROBOT CORPORATION 8 CROSBY DRIVE

EVP, CFO & Treasurer

BEDFORD, MA 01730 **Signatures** 

/s/ Glen D. Weinstein, Attorney-in-Fact

07/27/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on March 14, 2018.
- (2) This option is currently exercisable.
- (3) This option vests over a four-year period, at a rate of twenty-five percent (25%) on the first anniversary of the date listed in the table, and quarterly thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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