

Sepulveda Carlos M  
Form 4  
July 03, 2018

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Sepulveda Carlos M

(Last) (First) (Middle)

12700 PARK CENTRAL  
DRIVE, SUITE 1700

(Street)

DALLAS, TX 75251

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
Triumph Bancorp, Inc. [TBK]

3. Date of Earliest Transaction  
(Month/Day/Year)  
06/04/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock	06/04/2018		G <sup>(1)</sup>	V 3,895 D \$ 0	520,483 <sup>(2)</sup>	D	
Common Stock	06/11/2018		G <sup>(3)</sup>	V 610 D \$ 0	519,873 <sup>(4)</sup>	D	
Common Stock	06/18/2018		G <sup>(5)</sup>	V 2,425 D \$ 0	517,448 <sup>(6)</sup>	D	
Common Stock	07/01/2018		A	782 <sup>(7)</sup> A \$ 0 <sup>(7)</sup>	518,230 <sup>(8)</sup>	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned (Instr. 6)
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
				Code V (A) (D)					

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Sepulveda Carlos M 12700 PARK CENTRAL DRIVE SUITE 1700 DALLAS, TX 75251	X			

## Signatures

/s/ Adam D. Nelson, 07/03/2018  
Attorney-in-fact

**\*\*Signature of Reporting Person** **Date**

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transaction reported involved two separate gifts made on June 4, 2018 by the reporting person to 501(c)(3) charitable organizations.
- (2) Consists of (i) 365,457 shares beneficially owned by reporting person, and (ii) 155,026 shares beneficially owned jointly with spouse Susan S. Sepulveda.
- (3) The transaction reported involved two separate gifts made on June 11, 2018 by the reporting person to 501(c)(3) charitable organizations.
- (4) Consists of (i) 364,847 shares beneficially owned by reporting person, and (ii) 155,026 shares beneficially owned jointly with spouse Susan S. Sepulveda.
- (5) The transaction reported involved a gift made on June 18, 2018 by the reporting person to a 501(c)(3) charitable organization.

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- (6) Consists of (i) 362,422 shares beneficially owned by reporting person, and (ii) 155,026 shares beneficially owned jointly with spouse Susan S. Sepulveda.
- (7) Represents shares of common stock of Issuer granted to the reporting person under Issuer's 2014 Omnibus Incentive Plan. All of such shares were fully vested as of the date of grant.
- (8) Consists of (i) 363,204 shares beneficially owned by reporting person, and (ii) 155,026 shares beneficially owned jointly with spouse Susan S. Sepulveda.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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