

Paul David C  
Form 4  
April 30, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Paul David C

2. Issuer Name and Ticker or Trading Symbol  
GLOBUS MEDICAL INC [GMED]

5. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

(Last) (First) (Middle)  
VALLEY FORGE BUSINESS CENTER, 2560 GENERAL ARMISTEAD AVENUE  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
04/26/2018

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Executive Chairman

AUDUBON, PA 19403

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
|                                 |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price                                      |
| Class A Common Stock            | 04/26/2018                           |  | M                              |   | 18,461  | A  | \$ 4.88                                    |
| Class A Common Stock            | 04/26/2018                           |  | S <sup>(1)</sup>               |   | 18,461  | D  | \$ 51.8068 <sup>(2)</sup>                  |
| Class A Common Stock            | 04/26/2018                           |  | M                              |   | 18,461  | A  | \$ 11.8625                                 |
| Class A Common Stock            | 04/26/2018                           |  | S <sup>(1)</sup>               |   | 18,461  | D  | \$ 1,078,000                               |

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|                      |            |             |        |   |                             |           |   |
|----------------------|------------|-------------|--------|---|-----------------------------|-----------|---|
| Common Stock         |            |             |        |   | 51.8068<br><u>(2)</u>       |           |   |
| Class A Common Stock | 04/26/2018 | M           | 18,461 | A | \$ 10.66                    | 1,096,461 | D |
| Class A Common Stock | 04/26/2018 | <u>S(1)</u> | 18,461 | D | \$<br>51.8068<br><u>(2)</u> | 1,078,000 | D |
| Class A Common Stock | 04/26/2018 | M           | 15,000 | A | \$ 15.34                    | 1,093,000 | D |
| Class A Common Stock | 04/26/2018 | <u>S(1)</u> | 15,000 | D | \$<br>51.8068<br><u>(2)</u> | 1,078,000 | D |
| Class A Common Stock | 04/26/2018 | M           | 48,000 | A | \$ 13.04                    | 1,126,000 | D |
| Class A Common Stock | 04/26/2018 | <u>S(1)</u> | 48,000 | D | \$<br>51.8068<br><u>(2)</u> | 1,078,000 | D |
| Class A Common Stock | 04/26/2018 | M           | 60,000 | A | \$ 23.95                    | 1,138,000 | D |
| Class A Common Stock | 04/26/2018 | <u>S(1)</u> | 60,000 | D | \$<br>51.8068<br><u>(2)</u> | 1,078,000 | D |
| Class A Common Stock | 04/26/2018 | M           | 98,958 | A | \$ 24.1                     | 1,176,958 | D |
| Class A Common Stock | 04/26/2018 | <u>S(1)</u> | 98,958 | D | \$<br>51.8068<br><u>(2)</u> | 1,078,000 | D |
| Class A Common Stock | 04/26/2018 | M           | 40,625 | A | \$ 25.52                    | 1,118,625 | D |
| Class A Common Stock | 04/26/2018 | <u>S(1)</u> | 40,625 | D | \$<br>51.8068<br><u>(2)</u> | 1,078,000 | D |
| Class A Common Stock | 04/26/2018 | M           | 43,750 | A | \$ 26.27                    | 1,121,750 | D |
| Class A Common Stock | 04/26/2018 | <u>S(1)</u> | 43,750 | D | \$<br>51.8068<br><u>(2)</u> | 1,078,000 | D |

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|                            |            |                  |         |   |                      |         |   |
|----------------------------|------------|------------------|---------|---|----------------------|---------|---|
| Class A<br>Common<br>Stock | 04/26/2018 | S <sup>(1)</sup> | 363,284 | D | \$<br>51.8068<br>(2) | 714,716 | D |
| Class A<br>Common<br>Stock | 04/27/2018 | S <sup>(1)</sup> | 29,066  | D | \$<br>51.7831<br>(3) | 685,650 | D |
| Class A<br>Common<br>Stock | 04/30/2018 | S <sup>(1)</sup> | 72,938  | D | \$<br>51.8053<br>(4) | 612,712 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3)       | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |                            |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|----------------------------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title                         | Amount or Number of Shares |
| Stock Option (Right to Buy Class A Common Stock) | \$ 4.88  | 04/26/2018                           |  | M                              | 18,461  | (5) 08/06/2019   | Class A Common Stock  | 18,461                        |                            |
| Stock Option (Right to Buy Class A Common Stock) | \$ 11.8625   | 04/26/2018                           |  | M                              | 18,461  | (6) 06/16/2020   | Class A Common Stock  | 18,461                        |                            |
| Stock Option (Right to Buy)                      | \$ 10.66   | 04/26/2018                           |  | M                              | 18,461  | (7) 10/27/2021   | Class A Common Stock  | 18,461                        |                            |

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|  |          |            |   |        |             |            |                            |        |  |
|--|----------|------------|---|--------|-------------|------------|----------------------------|--------|--|
| Class A<br>Common<br>Stock)  |          |            |   |        |             |            |                            |        |  |
| Stock<br>Option<br>(Right to<br>Buy<br>Class A<br>Common<br>Stock) | \$ 15.34 | 04/26/2018 | M | 15,000 | <u>(8)</u>  | 08/29/2022 | Class A<br>Common<br>Stock | 15,000 |  |
| Stock<br>Option<br>(Right to<br>Buy<br>Class A<br>Common<br>Stock) | \$ 13.04 | 04/26/2018 | M | 48,000 | <u>(9)</u>  | 01/24/2023 | Class A<br>Common<br>Stock | 48,000 |  |
| Stock<br>Option<br>(Right to<br>Buy<br>Class A<br>Common<br>Stock) | \$ 23.95 | 04/26/2018 | M | 60,000 | <u>(10)</u> | 01/23/2024 | Class A<br>Common<br>Stock | 60,000 |  |
| Stock<br>Option<br>(Right to<br>Buy<br>Class A<br>Common<br>Stock) | \$ 24.1  | 04/26/2018 | M | 98,958 | <u>(11)</u> | 01/20/2025 | Class A<br>Common<br>Stock | 98,958 |  |
| Stock<br>Option<br>(Right to<br>Buy<br>Class A<br>Common<br>Stock) | \$ 25.52 | 04/26/2018 | M | 40,625 | <u>(12)</u> | 01/25/2026 | Class A<br>Common<br>Stock | 40,625 |  |
| Stock<br>Option<br>(Right to<br>Buy<br>Class A<br>Common<br>Stock) | \$ 26.27 | 04/26/2018 | M | 43,750 | <u>(13)</u> | 01/30/2027 | Class A<br>Common<br>Stock | 43,750 |  |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                    |       |
|--|---------------|-----------|--------------------|-------|
|  | Director      | 10% Owner | Officer            | Other |
| Paul David C<br>VALLEY FORGE BUSINESS CENTER<br>2560 GENERAL ARMISTEAD AVENUE<br>AUDUBON, PA 19403 | X             | X         | Executive Chairman |       |

## Signatures

/s/ Eric I. Schwartz,  
Attorney-in-Fact

04/30/2018

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This sale was effected pursuant to a Rule 10b5-1 trading plan executed by the reporting person.

(2) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$51.80 to \$52.155, inclusive. The reporting person undertakes to provide the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (2) to this Form 4.

(3) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$51.75 to \$51.8558, inclusive. The reporting person undertakes to provide the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (3) to this Form 4.

(4) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$51.75 to \$51.935, inclusive. The reporting person undertakes to provide the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (4) to this Form 4.

(5) These options were granted on August 6, 2009, and vested over a four-year period with one-fourth (1/4) of the options granted vesting on January 1, 2010, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.

(6) These options were granted on June 16, 2010, and vested over a four-year period with one-fourth (1/4) of the options granted vesting on January 1, 2011, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.

(7) These options were granted on October 27, 2011, and vested over a four-year period with one-fourth (1/4) of the options granted vesting on January 1, 2012, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.

(8) These options were granted on August 29, 2012, and vested over a four-year period with one-fourth (1/4) of the options granted vesting on January 1, 2013, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.

(9) These options were granted on January 24, 2013, and vested over a four-year period with one-fourth (1/4) of the options granted vesting on January 1, 2014, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.

(10) These options were granted on January 23, 2014, and vested over a four-year period with one-fourth (1/4) of the options granted vesting on January 1, 2015, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.

(11)

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These options were granted on January 20, 2015, and vest over a four-year period with one-fourth (1/4) of the options granted vesting on January 1, 2016, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.

- (12) These options were granted on January 25, 2016, and vest over a four-year period with one-fourth (1/4) of the options granted vesting on January 1, 2017, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.

- (13) These options were granted on January 30, 2017, and vest over a four-year period with one-fourth (1/4) of the options granted vesting on January 1, 2018, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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