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NOBLE ROBERT STANLEY

Form 3

March 20, 2018

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

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SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement À NOBLE ROBERT STANLEY

(Month/Day/Year)

(Last) (First) (Middle)

03/16/2018

First Foundation Inc. [FFWM]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

18101 VON

KARMAN, SUITE 700

(Street)

(State)

(Check all applicable)

(give title below) (specify below)

Director _X__ Officer

10% Owner Other

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting

Chief Lending Officer Person Form filed by More than One

Reporting Person

4. Nature of Indirect Beneficial

IRVINE, CAÂ 92612

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

(City)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

Ownership (Instr. 5)

Direct (D) or Indirect (I)

(Instr. 5)

SEC 1473 (7-02)

Common Stock 103,633

D

Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

Expiration Date

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

Conversion or Exercise Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial

Ownership

(Instr. 5)

Derivative Security: Title Direct (D) Security

(Instr. 4)

(Month/Day/Year)

2. Date Exercisable and

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Restricted Stock Unit	06/01/2018	06/01/2018	Common Stock	4,210	\$ <u>(1)</u>	D	Â
Restricted Stock Unit	02/27/2019	02/27/2019	Common Stock	1,539	\$ <u>(1)</u>	D	Â
Restricted Stock Unit	02/28/2019	02/28/2019	Common Stock	1,687	\$ <u>(1)</u>	D	Â
Restricted Stock Unit	02/27/2020	02/27/2020	Common Stock	1,539	\$ <u>(1)</u>	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
NOBLE ROBERT STANLEY 18101 VON KARMAN SUITE 700 IRVINE, CA 92612	Â	Â	Chief Lending Officer	Â		

Signatures

'/s/ John Michel attorney in fact for Robert S
Noble'

03/20/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock unit grant, no exercise price

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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