WHITE BRETT T Form 4

March 02, 2018

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue.

See Instruction

**SECURITIES** 

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

WHITE BRETT T

2. Issuer Name and Ticker or Trading

Symbol

MINDBODY, Inc. [MB]

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

03/01/2018

4051 BROAD STREET, SUITE 220

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

**OMB** 

Number:

Expires:

response...

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

Issuer

(Check all applicable)

Director 10% Owner Other (specify

X\_ Officer (give title below) CFO & COO

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### SAN LUIS OBISPO, CA 93401

| (City)                               | (State)                                 | (Zip) Tab   | ole I - Non-                           | Derivative                               | Secui   | rities Acquir        | ed, Disposed of,   | or Beneficiall   | y Owned   |
|--------------------------------------|---|---|--|--|---------|----------------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securit<br>ord Dispos<br>(Instr. 3, 4 | ed of ( | ` ′                  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Class A<br>Common<br>Stock           | 03/01/2018                              |   | C(1)                                   | 20,000                                   | A       | \$ 0                 | 122,980 (2)  | D  |   |
| Class A<br>Common<br>Stock           | 03/01/2018                              |   | S(3)                                   | 20,000                                   | D       | \$<br>35.2574<br>(4) | 102,980 (2)  | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |        | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amo<br>Underlying Secur<br>(Instr. 3 and 4) |                        |
|---|---|---|---|---|---|--------|--|--------------------|--|------------------------|
|   |   |   |   | Code V                                  | (A)   | (D)    | Date<br>Exercisable                                      | Expiration<br>Date | Title  | An<br>or<br>Nu<br>of S |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 7.708  | 03/01/2018                              |   | M                                       |   | 20,000 | 06/24/2016   | 06/27/2023         | Class B<br>Common<br>Stock                               | 20                     |
| Class B<br>Common<br>Stock                          | <u>(5)</u>  | 03/01/2018                              |   | M                                       | 20,000  |        | <u>(5)</u>   | (5)                | Class A<br>Common<br>Stock                               | 20                     |
| Class B<br>Common<br>Stock                          | <u>(5)</u>  | 03/01/2018                              |   | C                                       |   | 20,000 | <u>(5)</u>   | (5)                | Class A<br>Common<br>Stock                               | 20                     |

## **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |         |  |  |  |
|--------------------------------|---------------|-----------|---------|--|--|--|
|                                | Director      | 10% Owner | Officer |  |  |  |

WHITE BRETT T 4051 BROAD STREET

SUITE 220

SAN LUIS OBISPO, CA 93401

CFO & COO

Other

### **Signatures**

/s/ Kimberly G. Lytikainen,

Attorney-in-Fact 03/02/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each share of Class A Common Stock was issued upon the conversion of one share of Class B Common Stock at the election of Reporting Person.
- (2) Includes 102,980 restricted stock units ("RSUs"), where each RSU represents a contingent right to receive one share of the Issuer's Class A Common Stock upon settlement.
- (3) The sales reported on the Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person.

**(4)** 

Reporting Owners 2

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The price reported in Column 4 is weighted average price. These shares were sold in multiple transactions at prices ranging from \$34.85 to \$35.75, inclusive. Upon request by the Commission staff, the Issuer, or a security holder of the Issuer, the Reporting Person will provide full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.

(5) Each share of Class B Common Stock is convertible into one share of Class A Common Stock at the option of the holder and has no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.