de Notaristefani Carlo Form 3 January 02, 2018

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement TEVA PHARMACEUTICAL INDUSTRIES LTD À de Notaristefani Carlo (Month/Day/Year) [TEVA] 01/01/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O TEVA (Check all applicable) **PHARMACEUTICAL** INDUSTRIES LTD., Â 5 BASEL Director 10% Owner STREET X\_ Officer Other (give title below) (specify below) (Street) 6. Individual or Joint/Group **Executive VP Global Operations** Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person **PETACH** Form filed by More than One TIKVA, L3Â 4951033 Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities (Instr. 4) Beneficially Owned Ownership Ownership Form: (Instr. 5) (Instr. 4) Direct (D) or Indirect (I) (Instr. 5) Â D Ordinary Shares (1) 2,729.727 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

1. Title of Derivative Security 2. Date Exercisable and 3. Title and Amount of 4. 5. 6. Nature of Indirect Beneficial Ownership (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership (Month/Day/Year) **Derivative Security** or Exercise Form of (Instr. 5)

### Edgar Filing: de Notaristefani Carlo - Form 3

	Date Exercisable	Expiration Date	(Instr. 4)		Price of	Derivative	
			Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Stock Options (right to buy)	(2)	07/31/2022	Ordinary Shares (1)	150,003	\$ 40.87	D	Â
Stock Options (right to buy)	(3)	03/11/2024	Ordinary Shares (1)	98,581	\$ 48.76	D	Â
Stock Options (right to buy)	(4)	02/11/2025	Ordinary Shares (1)	89,376	\$ 57.35	D	Â
Stock Options (right to buy)	(5)	02/11/2026	Ordinary Shares (1)	99,904	\$ 55.75	D	Â
Stock Options (right to buy)	(6)	05/15/2026	Ordinary Shares	8,346	\$ 50.43	D	Â
Stock Options (right to buy)	(7)	02/14/2027	Ordinary Shares (1)	147,396	\$ 34.9	D	Â
Restricted Share Units	(8)	(8)	Ordinary Shares (1)	27,840	\$ <u>(9)</u>	D	Â
Restricted Share Units	(10)	(10)	Ordinary Shares (1)	30,875	\$ <u>(9)</u>	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
topoloning of the change of th	Director	10% Owner	Officer	Other	
de Notaristefani Carlo C/O TEVA PHARMACEUTICAL INDUSTRIES LTD. 5 BASEL STREET PETACH TIKVA, L3 4951033	Â	Â	Executive VP Global Operations	Â	

# **Signatures**

/s/ Dov Bergwerk, as attorney-in-fact for Carlo de Notaristefani 01/02/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Ordinary Shares may be represented by American Depositary Shares, each of which currently represents one Ordinary Share.
- (2) Stock options were granted on August 1, 2012, with 50,001 having vested on each of August 1, 2014, August 1, 2015 and August 1, 2016.

(3)

Reporting Owners 2

### Edgar Filing: de Notaristefani Carlo - Form 3

Stock options were granted on March 12, 2014, with 32,860 having vested on each of March 12, 2016 and March 12, 2017 and 32,861 vesting on March 12, 2018.

- (4) Stock options were granted on February 12, 2015, with 29,792 having vested on February 12, 2017 and 29,792 vesting on each of February 12, 2018 and February 12, 2019.
- (5) Stock options were granted on February 12, 2016, with 33,301 vesting on each of February 12, 2018 and February 12, 2019 and 33,302 vesting on February 12, 2020.
- (6) Stock options were granted on May 16, 2016, with 2,782 vesting on each of May 16, 2018, May 16, 2019 and May 16, 2020.
- (7) Stock options were granted on February 14, 2017, with 49,132 vesting on each of February 14, 2019, February 14, 2020 and February 14, 2021.
- (8) Restricted share units were granted on February 14, 2017, with 9,280 vesting on each of February 14, 2019, February 14, 2020 and February 14, 2021.
- (9) Each restricted share unit represents a contingent right to receive, at settlement, one ordinary share or, at the option of the Human Resources and Compensation Committee, the cash value of one ordinary share.
- (10) Restricted share units were granted on May 18, 2017 and vest on May 18, 2019.

Â

### **Remarks:**

The Reporting Person is filing this form in connection with the Issuer's transition from a foreign p

### Exhibit List

### Â Êxhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.