Edgar Filing: FINANCIAL INSTITUTIONS INC - Form 4

FINANCIA Form 4 February 23	L INSTITUTION 3, 2017	S INC									
FORM	ЛЛ								PPROVAL		
	UNITED	Washington, D.C. 20549									
Check t if no lor	laer							Expires:	January 31, 2005		
subject to Section 1 Form 4 c	to STATEN 16.	AENT OI	F CHAI	NGES IN SECUI	WNERSHIP OF	Estimated a burden hou	Estimated average burden hours per response 0.5				
Form 5 obligati may con <i>See</i> Inst 1(b).	ons ntinue. Section 17(Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940									
(Print or Type	Responses)										
1. Name and Address of Reporting Person <u>*</u> Caraccio Vito			2. Issuer Name and Ticker or Trading Symbol FINANCIAL INSTITUTIONS INC			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
			[FISI]				(Check an applicable)				
(Last) 220 LIBEF	3. Date of Earliest Transaction (Month/Day/Year) 02/22/2017			Director 10% Owner X Officer (give title Other (specify below) below)							
220 LIDEI	XII SIKEEI		0212212	2017			Senior Vice President				
	4. If Amendment, Date Original Filed(Month/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 						
WARSAW	7, NY 14569							More than One Re			
(City)	(State)	(Zip)	Tab	ole I - Non-l	Derivative	Securities A	cquired, Disposed	of, or Beneficia	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution any	Date, if	Code (Instr. 8)		(A) or of (D) 4 and 5) (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code V	Amount	(D) Price					
Reminder: Re	port on a separate line	e for each cl	ass of sec	urities bene	-	-	-				
					infor n requir	nation cont ed to respo ys a curre	spond to the colle ained in this form ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)		

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onof Derivative	Expiration Date	Underlying Securities
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)

Edgar Filing: FINANCIAL INSTITUTIONS INC - Form 4

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8	3)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)					
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit	<u>(1)</u>	02/22/2017		А		1,200		02/22/2020	02/22/2020	Common Stock	1,200

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Caraccio Vito 220 LIBERTY STREET WARSAW, NY 14569		Senior Vice President						
Signatures								
Michael D. Grover, by power of attorney	02/23	02/23/2017						

Explanation of Responses:

**Signature of Reporting Person

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

(1) Each restricted stock unit represents a contingent right to receive one share of FISI common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.