#### **CITIGROUP INC**

Form 4 February 17, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

30(h) of the Investment Company Act of 1940

OMB

**OMB APPROVAL** 

3235-0287 Number: January 31,

Expires: 2005 Estimated average

burden hours per response... 0.5

Other (specify

Check this box if no longer subject to Section 16. Form 4 or

**SECURITIES** Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* WALSH JEFFREY R

2. Issuer Name and Ticker or Trading Symbol

Issuer

below)

(Last)

(First)

(Middle)

(Zip)

3. Date of Earliest Transaction

CITIGROUP INC [C]

(Month/Day/Year) 02/16/2017

Director 10% Owner

(Check all applicable)

Controller

below)

5. Relationship of Reporting Person(s) to

CITIGROUP INC., CORPORATE LAW DEPT., 153 EAST 53RD STREET, 19TH FLOOR

(State)

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X\_ Officer (give title

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

NEW YORK, NY 10022

(City)

| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | Transaction(A) or Disposed of (D)<br>Code (Instr. 3, 4 and 5) |                        | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--------------------------------------|---|---|---|---|------------------------|--|--|---|
| Common                               |   |   | Code V                                  | Amount 8 413 5  | (A)<br>or<br>(D) Price | Reported Transaction(s) (Instr. 3 and 4)                         | (msu. 4)   | (IIIsti. +)   |

Common 02/16/2017 \$0 56,693.54 D A Α (1) Stock

Common By 401(K) 948.87 I Stock Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exerc | cisable and | 7. Title        | and    | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|---------------|-------------|-----------------|--------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transactio | onNumber   | Expiration D  | ate         | Amount          | t of   | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/   | Year)       | Underly         | ing    | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e             |             | Securitie       | es     | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities |               |             | (Instr. 3       | and 4) |             | Own    |
|             | Security    |                     |                    |            | Acquired   |               |             |                 |        |             | Follo  |
|             | •           |                     |                    |            | (A) or     |               |             |                 |        |             | Repo   |
|             |             |                     |                    |            | Disposed   |               |             |                 |        |             | Trans  |
|             |             |                     |                    |            | of (D)     |               |             |                 |        |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |               |             |                 |        |             |        |
|             |             |                     |                    |            | 4, and 5)  |               |             |                 |        |             |        |
|             |             |                     |                    |            |            |               |             | ^               | mount  |             |        |
|             |             |                     |                    |            |            |               |             |                 | mount  |             |        |
|             |             |                     |                    |            |            | Date          | Expiration  | Title N         |        |             |        |
|             |             |                     |                    |            |            | Exercisable   | Date        | Title Number of |        |             |        |
|             |             |                     |                    | C + V      | (A) (D)    |               |             |                 |        |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |               |             | S               | hares  |             |        |

# **Reporting Owners**

Relationships Reporting Owner Name / Address

Officer 10% Owner Other Director

WALSH JEFFREY R CITIGROUP INC., CORPORATE LAW DEPT. 153 EAST 53RD STREET, 19TH FLOOR NEW YORK, NY 10022

Controller

# **Signatures**

Jeffrey R. Walsh by Joseph B. Wollard, Attorney-in-Fact

02/17/2017

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Award of deferred stock pursuant to the Issuer's 2014 Stock Incentive Plan. This award vests in four equal annual installments beginning on January 20, 2018; none of the award is eligible for immediate sale.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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