

QUAKER CHEMICAL CORP
Form 4
November 23, 2016

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
BARRY MICHAEL F

2. Issuer Name and Ticker or Trading Symbol
QUAKER CHEMICAL CORP
[KWR]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)
 Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman, CEO and President

(Last) (First) (Middle)

QUAKER CHEMICAL CORPORATION, ONE QUAKER PARK, 901 E. HECTOR STREET

(Street)

3. Date of Earliest Transaction (Month/Day/Year)
11/21/2016

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

CONSHOHOCKEN, PA 19428-2380

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	V	Amount	(A) or (D)				Price
Common Stock	04/20/2016		G	V	616	D	\$ 0	118,486	D	
Common Stock	04/20/2016		A	V	308	A	\$ 0	1,980	I	By son
Common Stock	11/21/2016		M		3,078	A	\$ 38.13	121,564	D	
Common Stock	11/21/2016		F		1,943	D	\$ 122.5	119,621	D	
	11/21/2016		M		13,081	A		132,702	D	

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Common Stock						\$ 58.26		
Common Stock	11/21/2016	F	9,027	D		\$ 122.5	123,675	D
Common Stock	11/21/2016	M	11,801	A		\$ 73.47	135,476	D
Common Stock	11/21/2016	F	9,039	D		\$ 122.5	126,437	D
Common Stock	11/21/2016	M	5,966	A		\$ 87.3	132,403	D
Common Stock	11/21/2016	F	4,907	D		\$ 122.5	127,496	D
Common Stock							33,484 ⁽¹⁾	I By 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 38.13	11/21/2016		M	3,078	⁽²⁾ 03/06/2019	Common Stock 3,078
Employee Stock Option (right to buy)	\$ 58.26	11/21/2016		M	13,081	⁽³⁾ 03/05/2020	Common Stock 13,081
	\$ 73.47	11/21/2016		M	11,801	⁽⁴⁾ 02/27/2021	11,801

